

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 BOARD OF DIRECTORS
 THURSDAY 25 APRIL 2013

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| Title: | BOARD ASSURANCE FRAMEWORK |
| Responsible Director: | David Burbridge, Director of Corporate Affairs |
| Contact: | Bob Hibberd, Head of Governance |

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| Purpose: | To provide the BoD with any updates to the Board Assurance Framework risks |
| Confidentiality Level & Reason: | |
| Medium Term Plan Ref: | Annual Plan |
| Key Issues Summary: | <ul style="list-style-type: none"> • There is 1 high severity residual risks and 5 significant risks. • All other risks remain the same or the risk level has decreased due to further mitigation. • There were 0 new risks added to the Board Assurance Framework Risk Register. |
| Recommendations: | <p>The BoD is asked to:</p> <ul style="list-style-type: none"> • Discuss the contents of this report • Approve the update to the BAF |

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| Signed:  | Date: 12 April 2013 |
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UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST

BOARD OF DIRECTORS

THURSDAY 25 APRIL 2013

BOARD ASSURANCE FRAMEWORK

Presented by Director of Corporate Affairs

1 REVIEW

1.1 The Board Assurance Framework (BAF) has been reviewed and updated with the relevant Executive Directors.

1.2 The Trust has identified a range of significant risks, which are currently being mitigated, whose impact could have a direct bearing on compliance with the Terms of Authorisation, CQC registration or the achievement of corporate objectives in the following areas should the mitigation plans be ineffective.

1.3 Currently, the high and significant risks relate to the following areas:

- 1.3.1 **Research** Core Purpose 4
- 1.3.2 **Infection Prevention** (MRSA & *C. difficile* targets) Core Purpose 1
- 1.3.3 **WHO Safer Surgical Checklist** Core Purpose 1
- 1.3.4 **International Relationships** Core Purpose 1
- 1.3.5 **Ability to grow and develop** Core Purpose 2
- 1.3.6 **Reputation** Core Purpose 2

2 NEW HIGH AND SIGNIFICANT RISKS

2.1 There were 0 risks added to the Board Assurance Framework during Quarter 4.

3 INCREASED RISK

3.1 None

4 DOWNGRADED RISK

4.1 No risks were downgraded

5 RECOMMENDATIONS

5.1 The BoD is asked to:

- 5.1.1 Discuss the contents of this report
- 5.1.2 Approve the update to the BAF