

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
BOARD OF DIRECTORS
THURSDAY 27 APRIL 2017

Title:	QUARTER 4 BOARD ASSURANCE FRAMEWORK
Responsible Director:	David Burbridge, Director of Corporate Affairs
Contact:	Berit Reglar, Deputy Foundation Secretary

Purpose:	To provide the Board with the high level risks within the context of the Board Assurance Framework (BAF).
Confidentiality Level & Reason:	None
Medium Term Plan Ref:	Annual Plan

Key Issues Summary:	<p>The BAF has been reviewed by the Executive team in conjunction with their Executive Risk Registers and any relevant divisional/departmental risk registers. Furthermore, the BAF was reviewed by internal audit and received “<i>significant assurance with minor improvement</i>” which “<i>reflects that the Trust has a well-designed framework in place covering its Risk Management and Board Assurance processes</i>”. It was recognised that the BAF is a living document with risks being added or removed as appropriate. There has been an increase in risk reporting at ward/departmental level. 1,728 risks were reported in Q3 2016/17, compared to 1,540 in Q3 in 2015/16.</p> <p>It is proposed to remove the first risk around the ‘deterioration in the Trust’s underlying financial position...’ since this risk lies outside the Trust’s control and will impact on all providers.</p> <p>Progress updates have been made in relation to the:</p> <ul style="list-style-type: none"> • Newton Seamless surgery programme • Physician Associate Implementation Group and other controls connected to the ‘inability to recruit/retain adequate numbers of sufficiently skilled staff’ • Cardiac Surgery Quality Improvement Programme • Trust’s capacity and timely/effective transfer of care
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Recommendations:	<p>The Board is asked:</p> <ol style="list-style-type: none"> 1. to review the revised BAF and identify any gaps in controls or assurance, the latter to be considered for referral to the Audit Committee for consideration; 2. to agree to remove the first risk around the ‘deterioration in the Trust’s underlying financial position...’.
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Signed: D Burbridge	Date: April 2017
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