


AGENDA ITEM NO:

**UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
REPORT TO THE BOARD OF DIRECTORS
THURSDAY 24 JANUARY 2013**

Title:	FINANCE AND ACTIVITY PERFORMANCE REPORT FOR THE PERIOD ENDING 31 DECEMBER 2012
Responsible Director:	Mike Sexton, Director of Finance
Contact:	Julian Miller, Deputy Director of Finance, ext. 53074

Purpose:	To present an update to the Board
Confidentiality Level & Reason:	N/A
Annual Plan Ref:	3.4 – Ensure the Trust maintains financial health
Key Issues Summary:	An actual surplus of £0.590m has been recorded in December, resulting in a £2.502m surplus for the first nine months of the financial year. Latest forecasts indicate that the Trust will deliver a surplus of £3.000m by the end of the financial year.
Recommendations:	The Board of Directors is asked to: <ul style="list-style-type: none">• Receive the contents of this report.• Agree that Monitor's combined Governance Statement should be signed to confirm the Board expects the Trust to maintain a minimum FRR of 3 for the next 12 months.

Signed: 	Date: 14 January 2013
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UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST

REPORT TO THE BOARD OF DIRECTORS THURSDAY 24 JANUARY 2013

FINANCE AND ACTIVITY PERFORMANCE REPORT FOR THE PERIOD ENDING 31 DECEMBER 2012

PRESENTED BY THE DIRECTOR OF FINANCE

1. Introduction

This report covers the first nine months of the 2012/13 financial year from 1 April 2012 to 31 December 2012. It includes the three main financial statements; the Statement of Comprehensive Income, the Statement of Position and the Cash Flow Statement. The report includes information on healthcare activity and its associated income along with key operating expenditure variances, CIP delivery and capital programme expenditure.

The Trust originally planned for an annual surplus of £0.600m in 2012/13. However, an actual surplus of £0.590m has been achieved in December 2012 leading to a year to date surplus of £2.502m. Latest forecasts suggest that the Trust will achieve an actual surplus of £3.000m by 31 March 2013 i.e. £2.400m ahead of plan.

The reported position includes an aggregate overspend of (£6.192m) across operational divisions for the first nine months of the financial year, after recognising healthcare income over-performance of £3.041m. The overspend reflects activity driven costs in excess of the additional income recognised, (e.g. the use of premium rate agency staff), together with CIP slippage and shortfalls against the income targets relating to the Injury Cost Recovery Scheme and private patients. The operational overspend has been offset by the release of £6.800m from Trust Specific Reserves.

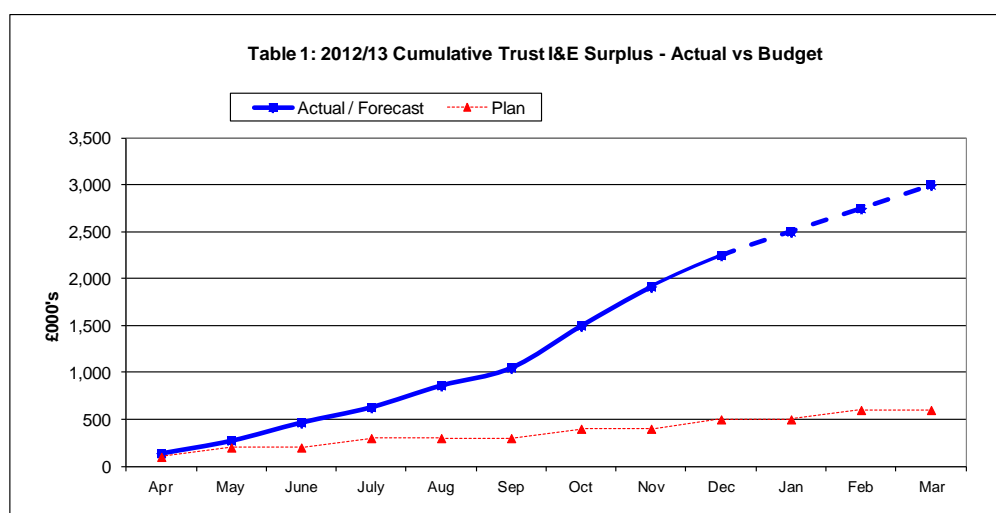
The Trust's Financial Risk Rating (FRR) remains at 3 based on the 2012/13 Annual Plan and Quarter 2 monitoring and self assessment of Quarter 3 performance.

2. Financial Position Summary

2.1 Year to Date Position

The cumulative income and expenditure surplus is shown in Table 1 below. As detailed above the actual year to date surplus is £2.502m, which is £2.002m above the planned £0.500m surplus. Table 1 also shows the forecast for the remainder of the financial year (see 2.2 below).

Table 1 – I&E Surplus vs. Plan 2012/13



The statement of comprehensive income appears in Appendix C. Table 2 below summarises the Trusts revenue transactions for the year. Further analysis of income is included in section 3.1 and detail regarding operating expenses is set out in section 3.3.

Table 2 – YTD Income and Expenditure Budget vs. Actual

	Budget Apr-Dec 2012 £m	Actual Apr-Dec 2012 £m	Variance £m
Revenue	450.3	473.7	23.4
Operating Expenses	(419.5)	(440.2)	(20.7)
EBITDA	30.8	33.5	2.7
Depreciation	(14.5)	(15.1)	(0.6)
Donated Asset Revenue	0.0	0.0	0.0
Interest Receivable	0.6	0.5	(0.1)
Interest Payable	(13.8)	(13.8)	0.0
Contingent Rental	(2.6)	(2.6)	0.0
PDC Dividend	0.0	0.0	0.0
Retained Surplus	0.5	2.5	2.0

Note - may include rounding differences

2.2 Forecast Outturn

As at Month 9, the Trust is forecasting a surplus of £3.000m by 31 March 2012/13. This is £2.400m ahead of the planned surplus of £0.600m primarily due to continued income growth in excess of additional costs.

3. Income and Expenditure

3.1 Income Analysis

Total operating income is £23.4m above plan at 31 December 2012; this is largely attributable to NHS Clinical Income which is £23.1m ahead of plan comprising:

- £3.0m recognised in respect of general over-performance against 2012/13 contracts and small gains relating to 2011/12 where final activity exceeded year end estimates. This is broadly in line with the value of year to date over-performance shown in Table 5 (section 3.2) below.
- £8.4m relating to high cost drugs and devices excluded from tariff.
- £5.5m for Enzyme Replacement Therapy commissioned on a cost per case basis by the National Commissioning Group.
- £6.2m relating to contract variations, in year service developments (e.g. Vascular Surgery transfer) and other cost per case treatments (e.g. non contracted activity).

Non-NHS Clinical Income is (£0.1m) below plan and Other Income is above plan by £0.4m) as at the end of December 2012.

Table 3 – Income against plan

	Budget Apr-Dec 2012 £m	Actual Apr-Dec 2012 £m	Variance £m
Clinical - NHS	353.5	376.6	23.1
Clinical - Non NHS	9.6	9.5	(0.1)
Other	87.2	87.6	0.4
TOTAL	450.3	473.7	23.4

Note - may include rounding differences

3.2 NHS Clinical Income / Activity

Table 4.1 below compares 2012/13 monthly admitted patient care activity against target levels for the first eight months of the financial year. This shows that Payment by Results (PbR) inpatient spells were above plan in November and for the year to date. Non PbR inpatient FCEs remain marginally below plan both in the month and year to date.

Table 4.1 – Trust Inpatient Activity

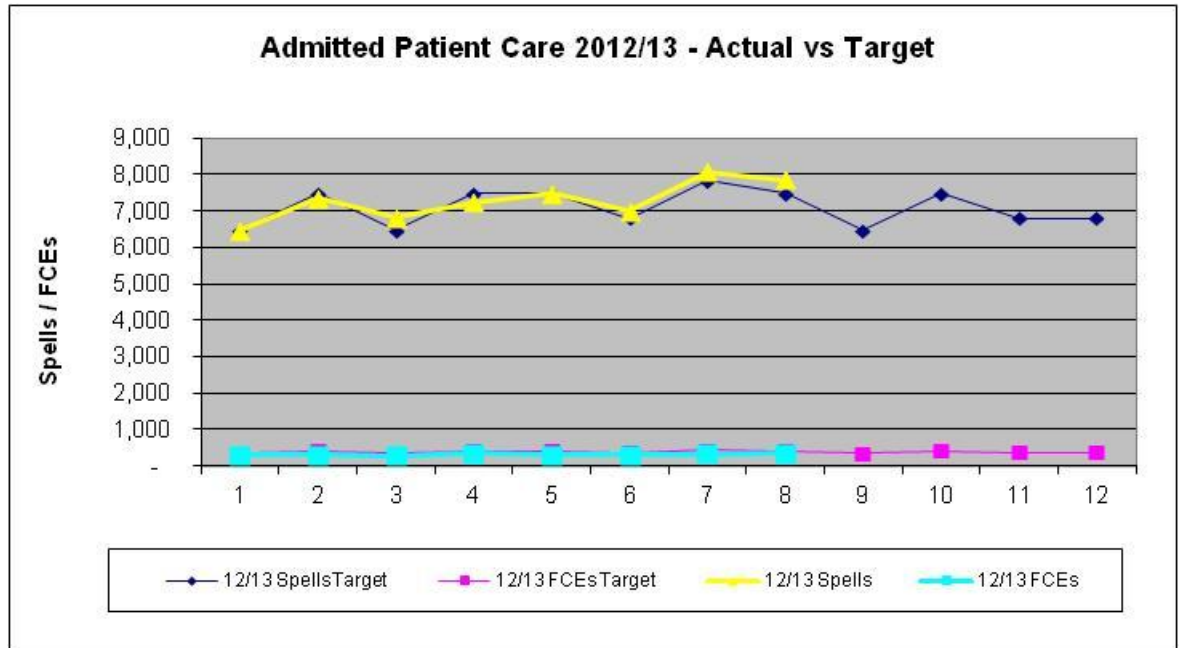


Table 4.2 shows outpatient activity against target. Actual outpatient attendances are continuing above planned levels for new, follow up attendances and procedures both in the month (November) and year to date.

Table 4.2 – Trust Outpatient Activity

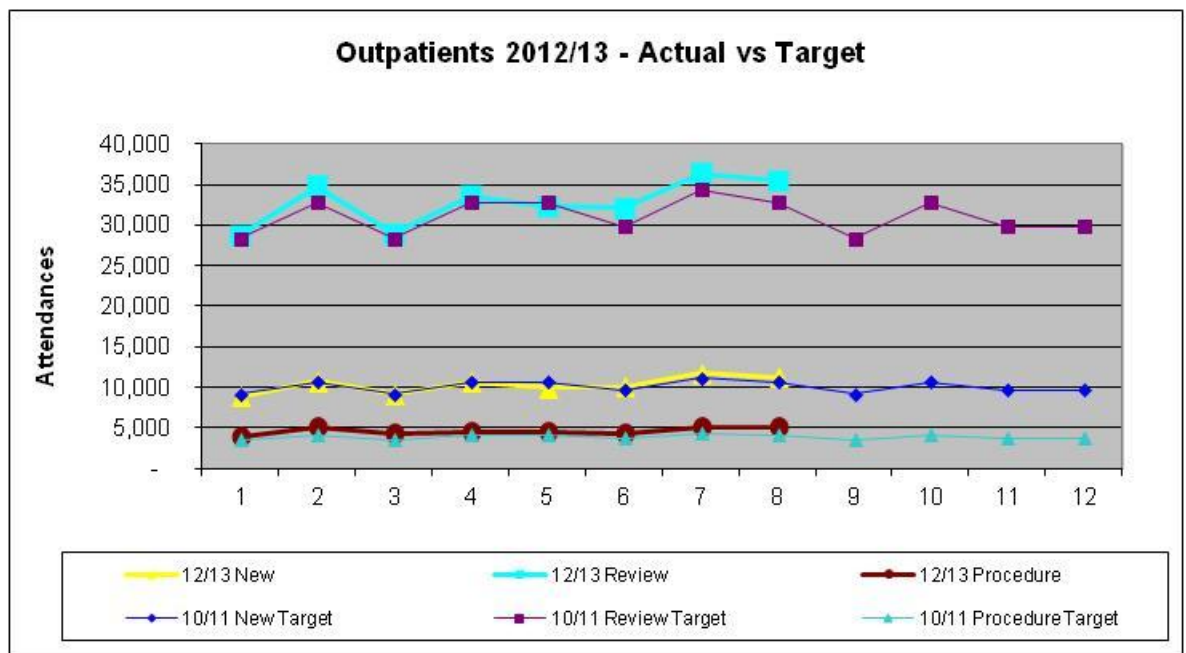


Table 5 below shows the variance by Division and Point of Delivery against the 2012/13 healthcare income targets. This shows that healthcare income (excluding cost per case) is £2.8m ahead of plan for the eight months to 31 November 2012. For 2012/13 income targets have been profiled based on working days (rather than equal twelfths), as agreed with the Trust's main commissioner (NHS South Birmingham), and should therefore give a more accurate indication of activity trends. This over performance excludes the impact of the non-

elective threshold and outstanding commissioner queries. Detailed activity performance against plan by specialty and commissioner is included as Appendix G.

Table 5 – Summary Healthcare Income Performance by Division by Point of Delivery

	YTD Variance against Divisional Baselines (£000)				
	Div A	Div B	Div C	Div D	Total
Inpatient	299	(828)	540	(1,328)	(1,317)
Outpatient	220	287	943	867	2,318
Other	857	(43)	749	264	1,827
Total	1,375	(583)	2,233	(196)	2,828

Note - may include rounding differences

3.3

Expenditure Analysis

The Trust wide subjective expenditure analysis in Table 6 shows an over spend of (£20.7m) against budgeted expenditure of £419.5m year to date. The main areas of overspend include pay costs (£8.3m) and cost per case drugs and devices (£7.7m).

Table 6 – Expenditure against plan - update

	Budget Apr-Dec 2012 £m	Actual Apr-Dec 2012 £m	Variance £m
PAY			
Medical Staff	74.9	78.1	(3.2)
Nursing	81.8	84.9	(3.1)
Scientific & Technical	30.1	30.0	0.1
SMP / A&C	38.5	39.0	(0.5)
Other / Pay Reserves	8.2	9.8	(1.6)
Total Pay	233.5	241.8	(8.3)
NON PAY			
Drugs	51.6	57.1	(5.5)
Clinical Supplies & Services	56.1	58.3	(2.2)
Other / Non-Pay Reserves	78.3	83.0	(4.7)
Total Non Pay	186.0	198.4	(12.4)
GRAND TOTAL	419.5	440.2	(20.7)

Note - may include rounding differences

3.4 Cost Improvement Programme

The Trust's 2012/13 Financial Plan includes a total efficiency savings target of £18.3m. For the first nine months of the financial year (April – December) 95% of the planned Cost Improvement Programme (CIP)

has been delivered, resulting in slippage of (£0.739m). The current forecast is for 97% of planned CIP to be achieved by 31 March 2013.

Table 7 – Delivery of Cost Improvements – to be updated

£000	Cumulative variance against plan				Y/E Forecast
	Q1	Q2	Q3	Q4	
Division A	(27)	(48)	(131)		(132)
Division B	54	(15)	(42)		(46)
Division C	(51)	(147)	(309)		(367)
Division D	(448)	(260)	(187)		(53)
Corporate / NHP	(164)	(265)	(105)		13
Single Site / Other	(40)	0	0		0
Total	(676)	(735)	(739)		(584)
% Achieved	85%	92%	95%		97%

4. Divisional Analysis

Divisional budgets recorded an adverse variance of (£6.192m) for the first nine months of the financial year after including £3.041m of additional healthcare income. This is offset by the release of £1.403m from the General Contingency Reserve which is profiled in equal twelfths and a further £6.800m released from the Trust's Specific Reserves.

Table 8 – Analysis of year to date variances by Division - update

	Income £'000	Expenditure £'000	Total £'000	Year End Forecast £'000
Division A	1,337	(6,068)	(4,731)	(5,774)
Division B	1,264	(2,939)	(1,675)	(2,439)
Division C	(487)	(3,530)	(4,017)	(4,812)
Division D	(645)	395	(250)	(901)
Division E	16,977	(15,537)	1,440	2,106
Sub - Total	18,446	(27,679)	(9,233)	(11,820)
Healthcare Income	3,041	0	3,041	2,000
TOTAL	21,487	(27,679)	(6,192)	(9,820)
<i>Memo General Contingency Reserve</i>			1,403	1871

Note - may include rounding differences

The aggregate overspend across operational divisions in December was (£381,000) excluding the recognition of £1,764,000 of healthcare over-performance income in the month. Medical staffing accounted for (£348,000) of the overspend including (£213,000) relating to senior medical staff reflecting locum cover in Cardiac Surgery, Oncology, Neurology and Ophthalmology, unfunded Consultant posts in General Surgery, Liver and Pain services and waiting list payments across a number of specialties. The remaining (£135,000) relates to junior and middle grade doctors with agency costs in excess of funded vacancies in several areas including A&E (£44,000) and Anaesthetics (£75,000).

Total nursing pay (including Theatre ODPs) was broadly in line with budget during December. This represents a significant improvement against the trend of recent months although this was partially attributable to the release of

additional funding for winter pressures. The main nursing pressures included A&E (£11,000) and the 5th floor wards (£32,000), due to a combination of additional nursing for specific patients ('specials') and use of external agencies to cover sickness absence, however these were largely offset by under-spends elsewhere.

Non-pay expenditure also reduced in the month, however some activity related pressures remain in Theatres (£141,000), Laboratories (£82,000) and Imaging (£165,000). This expenditure was generally offset by strong variable income with specific areas of over-performance including £140,000 in Cardiac Surgery relating to Ventricular Assist Devices (VADs), private patients and Heart and Lung Transplants and additional SLA income of £138,000 across Imaging and Medical Physics. Liver Transplant activity was also high with 19 adult transplants undertaken against a plan of 13, although this is now commissioned on a block contract basis.

5. Statement of Financial Position

The Statement of Financial Position shows the value of the Trust's assets and liabilities. The upper part of the statement shows net assets after deducting short and long term liabilities. The lower part identifies the sources of finance. Table 9 summarises the Trust's Statement of Financial Position at 31 December 2012.

Table 9 – Statement of Financial Position

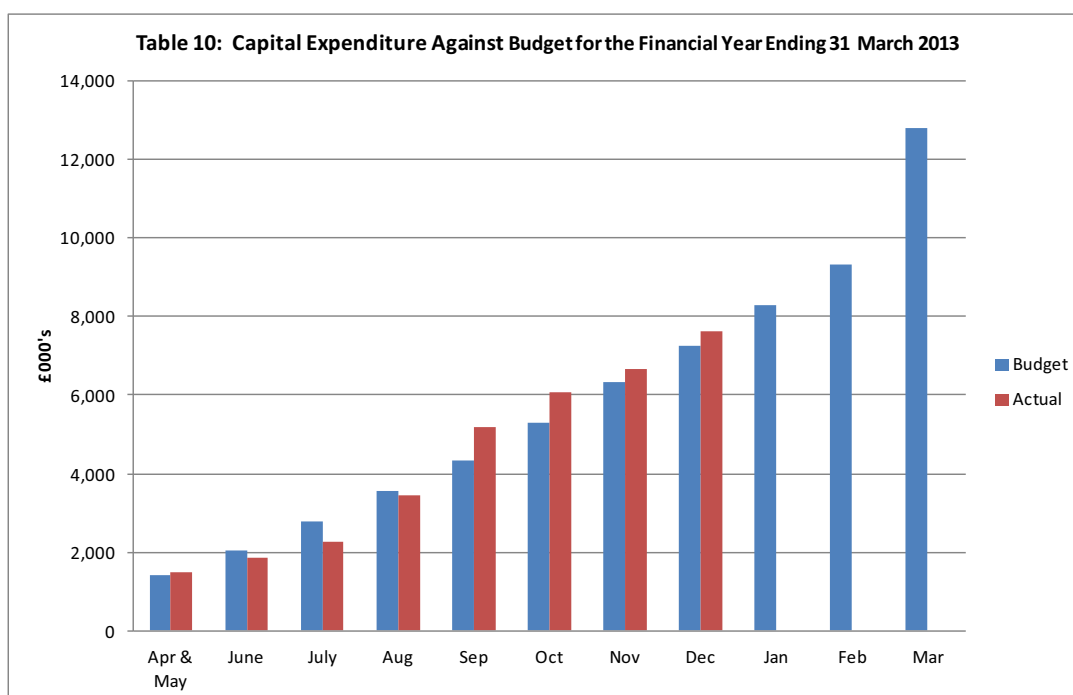
	Audited Mar 2012 £m	Actual Dec 2012 £m	YTD Plan Dec 2012 £m	Annual Plan Mar 2013 £m
Non Current Assets:				
Property, Plant and Equipment	513.2	506.1	507.2	507.2
Intangible Assets	0.8	0.6	0.8	0.8
Trade and Other Receivables	2.9	3.0	2.9	2.9
Other Assets	0.2	0.2	0.0	0.0
Total Non Current Assets	517.1	509.9	510.9	510.9
Current Assets:				
Inventories	12.3	13.3	12.6	12.3
Trade and Other Receivables	33.0	19.3	24.8	31.0
Other Financial Assets	0.6	9.2	6.9	5.9
Other Current Assets	4.4	8.9	12.0	0.6
Cash	66.7	64.4	45.0	50.7
Total Current Assets	117.0	115.1	101.3	100.5
Current Liabilities:				
Trade and Other Payables	70.2	79.0	64.6	70.1
Borrowings	12.3	12.0	12.0	11.8
Provisions	3.7	3.5	3.7	3.7
Tax Payable	6.9	6.7	6.5	6.9
Other Liabilities	23.8	15.4	15.5	22.0
Total Current Liabilities	116.9	116.6	102.3	114.5
Non Current Liabilities:				
Borrowings	545.9	536.8	538.3	534.0
Provisions	1.6	1.7	1.6	1.6
Other Liabilities	29.8	27.5	29.8	20.8
Total Non Current Liabilities	577.3	566.0	569.7	556.4
TOTAL ASSETS EMPLOYED	(60.1)	(57.6)	(59.8)	(59.5)
Financed by:				
Public Dividend Capital	171.0	171.0	171.0	171.0
Income & Expenditure Reserve	(339.5)	(336.9)	(339.1)	(338.9)
Donated Asset Reserve	0.0	0.0	0.0	0.0
Revaluation Reserve	108.4	108.3	108.3	108.4
TOTAL TAXPAYERS EQUITY	(60.1)	(57.6)	(59.8)	(59.5)

Note - may include rounding differences

6. Capital Programme

The approved capital programme for 2012/13 is £12.8m including donated assets. Capital expenditure at 31 December totals £7.6m, (£0.3m) above the year to date budget of £7.3m. Actual expenditure in the month was £1.0m which included £0.6m for estates projects and £0.4m on medical equipment and IT schemes. Expenditure against major schemes is summarised in Appendix D and more detail is provided in the separate quarterly capital programme update.

Table 10 – Capital Expenditure against plan



7. Analysis of Current Assets (excluding Inventories and Cash)

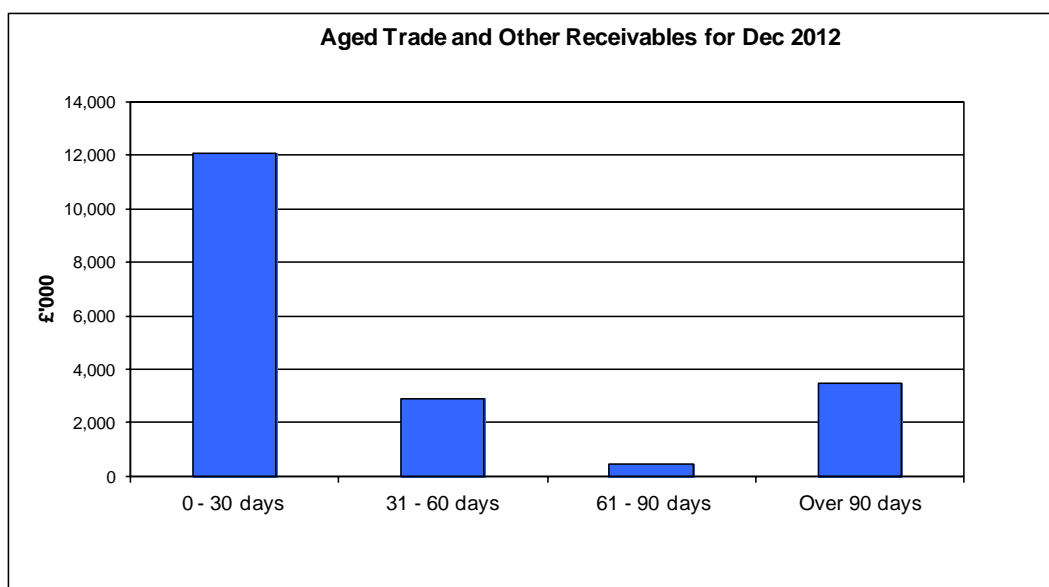
The value of current assets (excluding inventories and cash) due within one year was £37.4m at 31 December 2012. Of this £18.9m relates to Trade Receivables (unpaid invoices raised by the Trust). Analysis of this outstanding debt by age is included in Appendix E and summarised in table 12 below.

As at 31 December, the total over 90 day debt was £3.5m. Of this £0.9m is attributable to debt with NHS commissioners with the largest balance relating to Shropshire PCT (£0.4m) for 2011/12 over-performance, although this has been paid in full early in January. A further £1.8m relates to debt with other NHS providers for service level agreements, including Birmingham Women's Hospital (£0.8m) and Sandwell & West Birmingham (£0.2m). In aggregate these amounts are balanced by NHS creditors so there is little adverse impact on cash flow. The remaining balance (£0.8m) relates to other creditors including the University of Birmingham (£0.2m) and Birmingham City Council (£0.4m). The latter relates to reimbursement of delayed transfers of care and is expected to be paid in January.

Table 11 – Analysis of Current Assets (excluding Inventories and Cash)

	Actual Dec 2012 £m	Forecast Dec 2012 £m
Trade Receivables	18.9	21.1
Bad Debt Provision	-0.9	-1.3
Other Receivables	1.3	5.0
Trade and Other Receivables	19.3	24.8
Accrued Income	9.2	12.0
Other Financial Assets	9.2	12.0
Prepayments	8.9	6.9
Deferred Asset	0.0	0.0
Other Current Assets	8.9	6.9
TOTAL	37.4	43.7

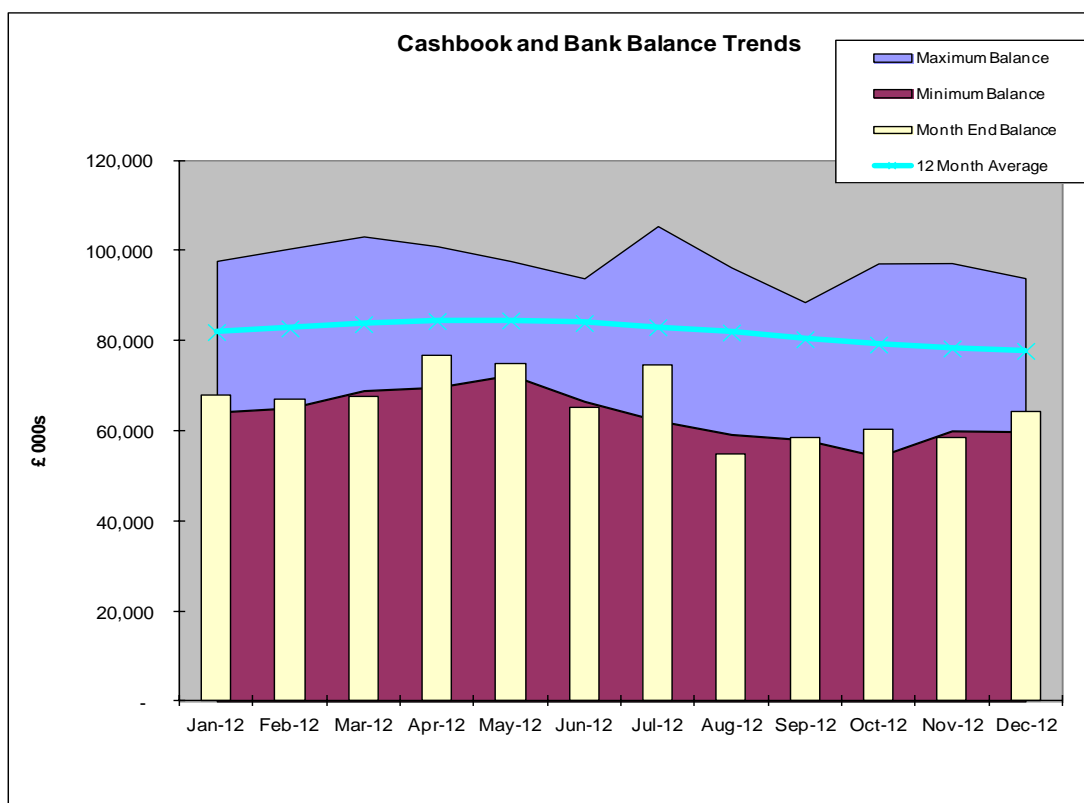
Table 12 – Aged Debt Analysis of Trade and Other Receivables due within One Year



8. Cash Flow

Appendix F sets out the cash flow statement including the actual cash received and paid out against forecast. Table 13 shows the month end cash book balance, the minimum and maximum daily bank balance in the month and a twelve month rolling average daily bank balance. The Trusts actual cash balance at the 31 December 2012 was £64.4m which is £19.4m above plan (£45.0m) due to favourable movements in working capital balances. The twelve month rolling average bank balance is reducing slightly but remains above planned levels.

Table 13 – Cash Flow



9. Working Capital Facility

The Trusts working capital facility has been increased to £46.6m for 2012/13 to ensure that the Trust continues to meet the requirements of Monitor’s liquidity ratio (part of the overall Financial Risk Rating). The overdraft has not been used to date and there are no plans to utilise the facility in the immediate future.

10. Monitor Ratios

10.1 Borrowing Ratios

New hospital PFI borrowing is incorporated into the Trust’s Prudential Borrowing Code as it is considered to be a form of financing or loan. Therefore, the Tier 2 Borrowing Ratios now apply:

Table 14 - Borrowing Ratios

Borrowing Ratio:	Limit	Annual Plan - 2012	Actual - Dec 2012
Minimum Dividend Cover	> 1.0	n/a	n/a
Minimum Interest Cover	> 2.0	1.9	2.3
Minimum Debt Service Cover	> 1.5	1.2	1.4
Maximum Debt Service to Revenue	< 10%	5.1%	4.9%

The first three ratios compare how many times the Trust's income and expenditure surplus can cover the PDC dividend, the interest payable on the PFI loan and the debt service of the PFI loan respectively (debt service is the sum of interest paid and the capital repayment of the loan). The final ratio compares how many times the Trust's total income covers the Debt Service on the PFI loan.

The Annual Plan suggests that the Trust will be slightly under the Tier 2 Limits for the Minimum Interest Cover (1.9 vs a limit of 2.0) and the Minimum Debt Service (1.2 vs 1.5 limit), although based on actual performance only the latter ratio has been breached to date. Monitor's prudential borrowing guidance allows for borrowing limits to be authorised which exceed the ratios in certain circumstances (for example a major renovation or redevelopment). Therefore this does not affect the Financial Risk Rating however access to potential additional borrowing (e.g. Finance Leases) may be restricted.

The Trust's current Prudential Borrowing Limit (PBL) for long term borrowing is £558.1m.

10.2 Financial Risk Rating

The Trust's Financial Risk Rating (FRR) remains at 3 based on the Annual Plan and Quarter 2 monitoring and self assessment of Quarter 3. The rating is set between 1 (worst) and 5 (best) based on a series of financial metrics.

11. **Monitor Finance Declaration**

As in previous years, FT's are required to submit a quarterly statement confirming that the Board anticipates that the Trust will maintain a financial risk rating of at least 3 for the next 12 months. If this cannot be confirmed the Board is required provide additional information detailing the Trust's response.

There is now a single form to be signed covering all three declarations (Finance, Governance and Quality). A copy of this is attached to the separate Monitor Quarterly Governance Declaration (Quarter 3) Report. For the Finance Declaration the Board is recommended to confirm that it anticipates that the Trust will continue to maintain an FRR of at least 3 for the next 12 months, based on current performance and financial planning.

12. **Conclusion**

An income and expenditure surplus of £2.502m has been reported for the first nine months of the 2012/13 financial year. This represents a favourable variance of £2.002m against the planned £0.500m surplus year to date.

Ongoing overspends across operational divisions continue to be covered by additional healthcare income and Trust reserves and the Trust expects to achieve a surplus of £3.000m for the full financial year, £2.400m ahead of plan.

13. Recommendations

The Board of Directors is asked to:

- Receive the contents of this report.
- Agree that Monitor's combined Governance Statement should be signed to confirm the Board expects the Trust to maintain a minimum FRR of 3 for the next 12 months.

A handwritten signature in black ink, consisting of a large capital letter 'M' followed by the name 'Sexton' in a cursive script.

Mike Sexton
Director of Finance
14 January 2013

PERIOD			BUDGET	BUDGET HOLDER	YEAR TO DATE			FORECAST OUTTURN			
BUDGET £'000	ACTUAL £'000	VARIANCE £'000			BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000	
279	199	(80)	CHIEF EXECUTIVE	DIRECT INCOME Dame Julie Moore	3,190	3,280	90	4,227	4,227	0	
(608)	(610)	(2)			DIRECT EXPENDITURE PAY	(7,899)	(7,848)	51	(10,572)	(10,572)	0
(522)	(457)	65			NON PAY	(4,997)	(5,106)	(109)	(6,647)	(6,647)	0
(851)	(868)	(17)			TOTAL	(9,706)	(9,674)	32	(12,992)	(12,992)	0
1,000	0	(1,000)	RESERVES	SPECIFIC Dame Julie Moore	(6,800)	0	6,800	(15,021)	(4,472)	10,549	
(156)	0	156			GENERAL	(1,403)	0	1,403	(1,871)	0	1,871
844	0	(844)			TOTAL	(8,203)	0	8,203	(16,892)	(4,472)	12,420
2,171	2,314	143	DIRECTOR OF FINANCE	DIRECT INCOME Mike Sexton	6,612	7,751	1,139	8,187	9,295	1,108	
(807)	(722)	85			DIRECT EXPENDITURE PAY	(6,146)	(6,309)	(163)	(8,151)	(8,421)	(270)
(1,760)	(1,995)	(235)			NON PAY	(5,205)	(5,836)	(631)	(6,177)	(6,615)	(438)
(396)	(403)	(7)			TOTAL	(4,739)	(4,394)	345	(6,141)	(5,741)	400
139	147	8	CHIEF NURSE	DIRECT INCOME Kay Fawcett	1,255	1,471	216	1,673	1,673	0	
(1,340)	(1,277)	63			DIRECT EXPENDITURE PAY	(12,641)	(12,759)	(118)	(16,911)	(16,911)	0
(378)	(321)	57			NON PAY	(3,452)	(3,297)	155	(4,628)	(4,628)	0
(1,579)	(1,451)	128			TOTAL	(14,838)	(14,585)	253	(19,866)	(19,866)	0
40,973	45,625	4,652	CHIEF OPERATING OFFICER	DIRECT INCOME Andrew McKirgan	382,519	404,006	21,487	512,175	534,863	22,688	
(21,883)	(22,073)	(190)			DIRECT EXPENDITURE PAY	(189,435)	(196,200)	(6,765)	(253,931)	(264,431)	(10,500)
(11,880)	(14,959)	(3,079)			NON PAY	(120,820)	(141,734)	(20,914)	(154,306)	(176,314)	(22,008)
7,210	8,593	1,383			TOTAL	72,264	66,072	(6,192)	103,938	94,118	(9,820)
4,120	3,350	(770)	DIRECTOR OF DELIVERY	DIRECT INCOME Tim Jones	32,702	32,884	182	41,963	41,963	0	
(1,495)	(1,087)	408			DIRECT EXPENDITURE PAY	(10,435)	(10,214)	221	(14,270)	(14,270)	0
(1,634)	(1,284)	350			NON PAY	(10,024)	(10,398)	(374)	(12,348)	(12,348)	0
991	979	(12)			TOTAL	12,243	12,272	29	15,345	15,345	0
1,055	1,335	280	NEW HOSPITAL DIRECTOR	DIRECT INCOME Morag Jackson	12,766	13,581	815	13,967	13,967	0	
(578)	(565)	13			DIRECT EXPENDITURE PAY	(2,389)	(2,480)	(91)	(3,194)	(3,194)	0
(5,436)	(5,739)	(303)			NON PAY	(46,808)	(47,683)	(875)	(63,299)	(63,299)	0
(4,959)	(4,969)	(10)			TOTAL	(36,431)	(36,582)	(151)	(52,526)	(52,526)	0
1,298	1,317	19	MEDICAL DIRECTOR	DIRECT INCOME Dr David Rosser	11,256	11,883	627	15,008	15,008	0	
(640)	(716)	(76)			DIRECT EXPENDITURE PAY	(5,631)	(6,023)	(392)	(7,552)	(7,552)	0
(277)	(269)	8			NON PAY	(1,840)	(2,097)	(257)	(2,422)	(2,422)	0
381	332	(49)			TOTAL	3,785	3,763	(22)	5,034	5,034	0
67	53	(14)	CAPITAL CHARGES	DIRECT INCOME	600	653	53	4,000	4,000	0	
0	0	0			DIRECT EXPENDITURE PAY	0	0	0	0	0	0
(1,608)	(1,676)	(68)			NON PAY	(14,475)	(15,023)	(548)	(19,300)	(19,900)	(600)
(1,541)	(1,623)	(82)			TOTAL	(13,875)	(14,370)	(495)	(15,300)	(15,900)	(600)
0	(123)	(123)	CONSOLIDATION ADJ.	DIRECT INCOME	0	(1,278)	(1,278)	0	0	0	
0	0	0			DIRECT EXPENDITURE PAY	0	0	0	0	0	0
0	123	123			NON PAY	0	1,278	1,278	0	0	0
0	0	0			TOTAL	0	0	0	0	0	0
50,102	54,217	4,115	TOTAL	INCOME	450,900	474,231	23,331	601,200	624,996	23,796	
(26,003)	(27,050)	(1,047)		PAY	(233,500)	(241,833)	(8,333)	(311,200)	(321,970)	(10,770)	
(23,999)	(26,577)	(2,578)		NON PAY	(216,900)	(229,896)	(12,996)	(289,400)	(300,026)	(10,626)	
100	590	490		SURPLUS	500	2,502	2,002	600	3,000	2,400	

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
31st December 2012
STATEMENT OF COMPREHENSIVE INCOME - CHIEF OPERATING OFFICER
APPENDIX B

PERIOD			BUDGET	BUDGET HOLDER	YEAR TO DATE			FORECAST OUTTURN		
BUDGET £'000	ACTUAL £'000	VARIANCE £'000			BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
41,107	42,871	1,764	HEALTHCARE INCOME	DIRECT INCOME	377,802	380,843	3,041	497,806	499,806	2,000
0	0	0		DIRECT EXPENDITURE : PAY	0	0	0	0	0	0
0	0	0		NON PAY	0	0	0	0	0	0
41,107	42,871	1,764		TOTAL	377,802	380,843	3,041	497,806	499,806	2,000
905	1,030	125	DIVISION A	DIRECT INCOME	8,191	9,528	1,337	10,846	12,415	1,569
(8,218)	(8,331)	(113)		DIRECT EXPENDITURE : PAY	(70,655)	(73,220)	(2,565)	(94,428)	(98,230)	(3,802)
(2,911)	(3,310)	(399)		NON PAY	(26,499)	(30,002)	(3,503)	(35,170)	(38,711)	(3,541)
(10,224)	(10,611)	(387)		TOTAL	(88,963)	(93,694)	(4,731)	(118,752)	(124,526)	(5,774)
410	589	179	DIVISION B	DIRECT INCOME	3,557	4,821	1,264	4,105	5,701	1,596
(4,163)	(4,259)	(96)		DIRECT EXPENDITURE : PAY	(36,381)	(37,164)	(783)	(48,629)	(49,060)	(431)
(3,363)	(3,575)	(212)		NON PAY	(31,853)	(34,009)	(2,156)	(41,180)	(44,784)	(3,604)
(7,116)	(7,245)	(129)		TOTAL	(64,677)	(66,352)	(1,675)	(85,704)	(88,143)	(2,439)
451	408	(43)	DIVISION C	DIRECT INCOME	4,403	3,916	(487)	5,707	4,590	(1,117)
(4,275)	(4,293)	(18)		DIRECT EXPENDITURE : PAY	(36,276)	(38,154)	(1,878)	(48,971)	(51,450)	(2,479)
(3,073)	(3,244)	(171)		NON PAY	(28,163)	(29,815)	(1,652)	(36,341)	(37,557)	(1,216)
(6,897)	(7,129)	(232)		TOTAL	(60,036)	(64,053)	(4,017)	(79,605)	(84,417)	(4,812)
657	699	42	DIVISION D	DIRECT INCOME	5,299	4,654	(645)	7,029	5,624	(1,405)
(4,740)	(4,765)	(25)		DIRECT EXPENDITURE : PAY	(41,634)	(43,448)	(1,814)	(55,827)	(58,439)	(2,612)
(3,843)	(3,691)	152		NON PAY	(40,639)	(38,430)	2,209	(51,767)	(48,651)	3,116
(7,926)	(7,757)	169		TOTAL	(76,974)	(77,224)	(250)	(100,565)	(101,466)	(901)
(2,557)	28	2,585	CHIEF OPERATING OFFICER	DIRECT INCOME	(16,733)	244	16,977	(13,318)	6,727	20,045
(487)	(425)	62		DIRECT EXPENDITURE : PAY	(4,489)	(4,214)	275	(6,076)	(7,252)	(1,176)
1,310	(1,139)	(2,449)		NON PAY	6,334	(9,478)	(15,812)	10,152	(6,611)	(16,763)
(1,734)	(1,536)	198		TOTAL	(14,888)	(13,448)	1,440	(9,242)	(7,136)	2,106
40,973	45,625	4,652	TOTAL OPERATIONAL DIVISIONS	INCOME	382,519	404,006	21,487	512,175	534,863	22,688
(21,883)	(22,073)	(190)		PAY	(189,435)	(196,200)	(6,765)	(253,931)	(264,431)	(10,500)
(11,880)	(14,959)	(3,079)		NON PAY	(120,820)	(141,734)	(20,914)	(154,306)	(176,314)	(22,008)
7,210	8,593	1,383		TOTAL SURPLUS	72,264	66,072	(6,192)	103,938	94,118	(9,820)

**UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
CONSOLIDATED INCOME STATEMENT**

31st December 2012

APPENDIX C

	Period To date			Forecast Out-turn		
	Budget	Actual	Variance	Budget	Actual	Variance
	£'000	£'000	£'000	£'000	£'000	£'000
Income						
-Clinical - NHS	353,500	376,627	23,127	471,300	471,300	0
-Clinical - Non NHS	9,600	9,470	(130)	12,800	12,800	0
-Other	87,200	87,621	421	116,300	140,096	23,796
TOTAL INCOME	450,300	473,718	23,418	600,400	624,196	23,796
Operating Expenses						
-Pay Costs	(233,500)	(241,833)	(8,333)	(311,200)	(321,970)	(10,770)
-Non Pay	(185,967)	(198,403)	(12,436)	(248,234)	(258,757)	(10,523)
-Depreciation	(14,475)	(15,030)	(555)	(19,300)	(19,300)	0
TOTAL EXPENDITURE	(433,942)	(455,266)	(21,324)	(578,734)	(600,027)	(21,293)
OPERATING SURPLUS	16,358	18,452	2,094	21,666	24,169	2,503
Interest Receivable	600	514	(86)	800	800	0
Interest Payable	(13,836)	(13,836)	0	(18,414)	(18,414)	0
Contingent Rental	(2,622)	(2,625)	(3)	(3,452)	(3,452)	0
Corporation Tax	0	(3)	(3)	0	(103)	(103)
PDC Dividends Payable	0	0	0	0	0	0
SURPLUS FOR THE FINANCIAL YEAR	500	2,502	2,002	600	3,000	2,400
Transistion Costs	0	0	0	0	0	0
Impairments on Property	0	0	0	0	0	0
RETAINED SURPLUS FOR THE YEAR	500	2,502	2,002	600	3,000	2,400

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING 31st December 2012
CAPITAL PROGRAMME

APPENDIX D

PERIOD			SCHEME	TO DATE			FORECAST OUTTURN		
BUDGET £'000	ACTUAL £'000	VARIANCE £'000		BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
10	9	1	Bfwd Schemes 11-12	120	215	(95)	200	200	0
480	654	(174)	Retained Estate	3,600	3,258	342	4,950	4,950	0
200	103	97	Equipment Rolling Replacement	1,325	1,626	(301)	1,580	1,580	0
0	0	0	Equipment Replacement	500	444	56	850	850	0
100	0	100	Equipment Major Trauma Centre	550	514	36	750	750	0
0	0	0	Equipment Other	60	63	(3)	60	60	0
150	157	(7)	ICT Specific Project	890	894	(4)	1,250	1,250	0
30	52	(22)	Chief Operating Officer Discretionary Capital	150	70	80	300	300	0
0	0	0	New Hospital	300	504	(204)	350	350	0
0	0	0	Unallocated	0	0	0	0	0	0
(25)	0	(25)	Slippage	(225)	0	(225)	(300)	(300)	0
0	0	0	Gifted Assets	0	42	(42)	2,800	2,800	0
945	975	(30)	Capital Programme	7,270	7,631	(361)	12,790	12,790	0

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
31st December 2012
AGED ANALYSIS OF TRADE RECEIVABLES
APPENDIX E

Month	Trust Total £	No of Invs	Current £	30 - 60 £	60 - 90 £	90+ £
APRIL	24,648,600 100%	1,992	10,310,523 42%	10,671,549 43%	664,750 3%	3,001,778 12%
MAY	15,411,893 100%	1,860	4,432,608 29%	2,097,173 14%	6,171,085 40%	2,711,027 18%
JUNE	14,736,054 100%	2,078	5,143,028 35%	2,838,265 19%	694,839 5%	6,059,922 41%
JULY	16,822,570 100%	2,207	8,094,887 48%	1,569,497 9%	1,410,130 8%	5,748,056 34%
AUG	22,071,036 100%	2,290	11,792,847 53%	3,634,472 16%	2,153,761 10%	4,489,955 20%
SEP	21,433,879 100%	2,029	9,296,636 43%	4,567,932 21%	2,254,986 11%	5,314,326 25%
OCT	22,332,169 100%	2,242	9,773,999 44%	6,307,101 28%	2,657,368 12%	3,593,702 16%
NOV	17,907,384 100%	1,961	11,579,580 65%	1,191,314 7%	2,367,080 13%	2,769,410 15%
DEC	18,854,147 100%	1,945	12,068,903 64%	2,872,758 15%	458,778 2%	3,453,708 18%

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST

FINANCIAL PERFORMANCE - PERIOD ENDING

31st December 2012

CASHFLOW FORECAST 2012/13

APPENDIX F

	Apr F/Cast £000's	Apr Actual £000's	May F/Cast £000's	May Actual £000's	June F/Cast £000's	June Actual £000's	July F/Cast £000's	July Actual £000's	Aug F/Cast £000's	Aug Actual £000's	Sep F/Cast £000's	Sep Actual £000's	Oct F/Cast £000's	Oct Actual £000's	Nov F/Cast £000's	Nov Actual £000's	Dec F/Cast £000's	Dec Actual £000's	Jan F/Cast £000's	Feb F/Cast £000's	Mar F/Cast £000's	
INCOME																						
Contract Income Protected	36,733	41,556	36,733	48,938	36,733	42,918	39,633	44,300	39,633	35,014	39,634	46,772	38,900	48,755	38,900	48,280	38,900	43,383	41,600	41,600	41,600	
Non Protected	233	140	233	251	234	35	267	24	267	300	266	223	233	213	233	268	234	851	267	267	266	
Other Income	9,433	9,733	9,433	7,122	9,434	7,101	9,400	20,182	9,400	4,686	9,400	3,211	9,400	14,655	9,400	6,654	9,400	5,268	9,233	9,233	9,234	
Interest Received	66	53	67	12	67	13	66	59	67	13	67	13	67	37	66	13	67	390	66	67	67	
Total Received	46,465	51,481	46,466	56,323	46,468	50,067	49,366	64,565	49,367	40,013	49,367	50,218	48,600	63,661	48,599	55,215	48,601	49,892	51,166	51,167	51,167	
EXPENDITURE																						
Pay	25,933	24,595	25,933	25,130	25,934	25,100	25,933	24,856	25,933	25,317	25,934	25,272	25,967	25,446	25,967	25,766	25,966	25,252	25,900	25,900	25,900	
Non Pay	21,833	15,239	21,833	24,260	21,834	30,600	21,733	24,008	21,733	28,294	21,734	15,131	21,567	30,272	21,567	25,320	21,566	16,189	18,767	18,767	18,766	
PDC Dividend	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
PFI - Specific	0	2,270	0	2,270	0	2,199	0	2,359	0	2,286	0	2,132	0	2,274	0	2,270	0	0	0	0	0	
Capital	700	967	700	659	694	409	800	237	800	816	800	2,282	966	771	967	939	967	731	933	933	934	
Total Payments	48,466	43,070	48,466	52,320	48,462	58,308	48,466	51,460	48,466	56,714	48,468	44,817	48,500	58,763	48,501	54,294	48,499	42,172	45,600	45,600	45,600	
Net Inflow(outflow)	(2,001)	8,410	(2,000)	4,003	(1,994)	(8,241)	900	13,105	901	(16,701)	899	5,401	100	4,898	98	921	102	7,720	5,566	5,567	5,567	
FINANCING																						
Interest Expense on PFI Scheme	(1,533)	(1,543)	(1,533)	(1,543)	(1,534)	(1,543)	(1,533)	(1,543)	(1,533)	(1,543)	(1,534)	(1,534)	(1,533)	(1,535)	(1,533)	(1,526)	(1,534)	(1,526)	(1,533)	(1,533)	(1,534)	
Capital PFI	(1,000)	(1,057)	(1,000)	(1,058)	(1,000)	(1,058)	(1,033)	(1,013)	(1,033)	(1,013)	(1,034)	(1,013)	(1,033)	(1,029)	(1,033)	(1,029)	(1,034)	(1,029)	(1,033)	(1,033)	(1,034)	
PFI - Variations	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Restructuring Costs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Movement on Other Grants/Capital	0	0	0	0	0	0	0	42	0	0	0	0	0	0	0	0	0	0	0	0	0	
CASH BALANCES																						
Opening balance	67,700	66,706	63,160	72,516	58,628	73,919	54,100	63,077	52,434	73,668	50,769	54,411	49,100	57,265	46,635	59,599	44,166	57,964	41,700	44,701	47,702	
Bank balances c/f	63,166	72,516	58,628	73,919	54,100	63,077	52,434	73,668	50,769	54,411	49,100	57,265	46,635	59,599	44,166	57,964	41,700	63,129	44,701	47,702	50,700	
Cash Held/Agency Bal Pharmacy@QEHB Ltd		23		23		23		23		23		22		22		22		28				
		1,532		946		2,209		813		279		1,147		677		559		1,191				
Total	63,166	74,071	58,628	74,888	54,100	65,309	52,434	74,504	50,769	54,713	49,100	58,434	46,635	60,298	44,166	58,545	41,700	64,348	44,701	47,702	50,700	

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 SLAM REPORT: Inpatient Activity by Division - Month 8 (April-November 2012)

APPENDIX G(1)

Specialty	ACTIVITY					
	Target		Actual		Variance	
	Elective	Emergency	Elective	Emergency	Elective	Emergency
<u>Services under PbR</u>						
<u>Specialty Group: 1 - Division A</u>						
Critical Care Medicine	1	11	0	10	-1	-1
Interventional Radiology	309	0	592	1	283	1
Rehabilitation	10	7	0	11	-10	4
	320	19	592	22	272	3
<u>Specialty Group: 2 - Division B</u>						
Breast Surgery	304	1	326	0	22	-1
Cardiology	1,806	928	1,741	966	-65	38
Cardiothoracic Surgery	386	207	370	226	-16	19
Cardiothoracic Transplantation	3	3	0	0	-3	-3
Colorectal Surgery	2,214	262	1,507	114	-707	-148
Endoscopy	0	0	0	0	0	0
Gastroenterology	4,363	257	3,922	199	-441	-58
General Surgery	2,255	2,468	1,641	2,967	-614	499
Hepatobiliary & Pancreatic Surgery	777	187	608	220	-169	33
Hepatology	798	140	843	179	45	39
Liver Transplantation	0	0	0	0	0	0
Nephrology	847	894	592	931	-255	37
Transplantation Surgery	3	30	4	32	1	2
Upper Gastrointestinal Surgery	189	42	15	4	-174	-38
Vascular Surgery	796	212	736	155	-60	-57
	14,739	5,633	12,305	5,993	-2,434	360
<u>Specialty Group: 3 - Division C</u>						
Accident & Emergency	1	487	0	418	-1	-69
Dermatology	691	12	850	7	159	-5
Diabetic Medicine	573	0	583	0	10	0
Endocrinology	474	21	507	10	33	-11
General Medicine	179	11,615	164	10,880	-15	-735
Genitourinary Medicine	5	14	7	12	2	-2
Geriatric Medicine	2	613	2	360	0	-253
Ophthalmology	2,313	9	2,195	5	-118	-4
Infectious Diseases	0	1	0	0	0	-1
Pain Management	757	1	1,106	1	349	0
Respiratory Medicine	85	325	269	275	184	-50
Rheumatology	418	0	474	0	56	0
	5,499	13,098	6,157	11,968	658	-1,130
<u>Specialty Group: 4 - Division D</u>						
Blood and Marrow Transplantation	9	3	0	1	-9	-2
Burns care	7	51	5	32	-2	-19
Clinical Oncology	724	468	990	485	266	17
Clinical Haematology	2,415	458	3,407	469	992	11
ENT	1,462	293	1,254	361	-208	68
Maxillo-facial surgery	801	387	772	331	-29	-56
Medical Oncology	368	339	445	391	77	52
Neurology	1,048	128	1,372	161	324	33
Neurosurgery	1,805	1,021	1,802	1,026	-3	5
Plastic Surgery	2,198	441	1,957	442	-241	1
Stroke Medicine	0	9	1	82	1	73
Trauma & Orthopaedics	1,910	1,711	1,876	1,435	-34	-276
Urology	1,651	319	1,836	346	185	27
	14,399	5,628	15,717	5,562	1,318	-66
<u>Summary: Services Under PbR</u>	34,957	24,378	34,771	23,545	-186	-833

Specialty	ACTIVITY					
	Target		Actual		Variance	
	Elective	Emergency	Elective	Emergency	Elective	Emergency
<u>Services at Local Prices</u>						
<u>Specialty Group: 1 - Division A</u>						
Interventional Radiology	3	0	13	0	10	0
	3	0	13	0	10	0
<u>Specialty Group: 2 - Division B</u>						
Breast Surgery	4	0	3	0	-1	0
Cardiology	46	15	41	0	-5	-15
Cardiac MRI	122	0	144	0	22	0
Cardiothoracic Surgery	92	7	85	1	-7	-6
Cardiothoracic Transplantation	1	6	6	16	5	10
Colorectal Surgery	26	0	56	0	31	0
Gastroenterology	19	1	48	0	29	-1
General Surgery	121	3	153	11	33	8
Hepatobiliary & Pancreatic Surgery	79	3	147	3	68	0
Hepatology	27	43	30	36	3	-8
Nephrology	26	6	44	3	18	-3
Renal Transplantation	88	11	57	36	-31	25
Transplantation Surgery	0	0	1	2	1	2
Vascular Surgery	31	2	37	2	5	0
	683	96	853	110	170	13
<u>Specialty Group: 3 - Division C</u>						
Accident & Emergency	0	33	0	23	0	-10
Dermatology	19	0	21	1	2	1
Diabetic Medicine	0	0	0	0	0	0
Endocrinology	5	0	7	0	2	0
General Medicine	1	327	4	268	2	-59
Geriatric Medicine	0	7	0	1	0	-6
Ophthalmology	33	0	32	0	-1	0
Pain Management	311	0	43	0	-268	0
Respiratory Medicine	2	3	13	1	11	-2
Rheumatology	5	0	1	0	-4	0
	377	370	121	295	-256	-75
<u>Specialty Group: 4 - Division D</u>						
Bone & Marrow Transplantation	16	1	0	0	-16	-1
Burns care	8	295	13	196	5	-99
Clinical Oncology	18	0	36	2	18	2
Clinical Haematology	17	1	22	1	5	0
ENT	53	0	45	1	-8	1
Maxillo-facial surgery	82	7	98	6	15	0
Medical Oncology	115	47	117	27	2	-20
Neurology	619	1	785	1	165	0
Neurosurgery	173	6	165	3	-8	-3
Plastic Surgery	58	18	54	18	-5	-1
Trauma & Orthopaedics	63	7	72	6	9	-1
Urology	72	1	81	2	9	1
	1,298	384	1,487	263	189	-121
<u>Summary: Services at Local Prices</u>						
	2,361	850	2,474	668	113	-182
Total Inpatient (PBR & Local)	37,318	25,228	37,245	24,213	-73	-1,015

Specialty	ACTIVITY								
	Target			Actual			Variance		
	New	Follow-Up	Procedure	New	Follow-Up	Procedure	New	Follow-Up	Procedure
<u>Services under PbR</u>									
<u>Specialty Group: 1 - Division A</u>									
Chemical Pathology	0	0	38	0	0	70	0	0	32
Occupational Therapy	0	0	1	0	0	21	0	0	20
Physiotherapy	0	0	242	0	0	330	0	0	88
Podiatry	0	0	14	0	0	5	0	0	-9
Rehabilitation	0	0	1	0	0	2	0	0	1
Speech & Language Therapy	0	0	83	0	0	124	0	0	41
Sports & Exercise Science	0	0	0	60	54	0	60	54	0
	0	0	380	60	54	552	60	54	172
<u>Specialty Group: 2 - Division B</u>									
Breast Surgery	1,622	2,935	618	1,431	2,576	688	-191	-359	70
Cardiology	5,979	17,027	4,301	6,389	18,555	3,945	410	1,528	-356
Cardiothoracic Surgery	116	1,111	713	138	1,184	566	22	73	-147
Cardiothoracic Transplantation	0	0	41	0	0	5	0	0	-36
Colorectal Surgery	824	1,137	1,441	774	1,129	1,222	-50	-8	-219
Gastroenterology	1,475	3,000	255	1,649	3,462	226	174	462	-29
General Surgery	1,783	5,061	597	1,763	4,729	1,369	-20	-332	772
Hepatobiliary & Pancreatic Surgery	1,144	2,596	434	816	2,581	413	-328	-15	-21
Hepatology	1,709	7,521	471	1,142	8,229	416	-567	708	-55
Nephrology	829	15,270	299	802	16,246	243	-27	976	-56
Transplantation Surgery	0	0	55	0	0	32	0	0	-23
Upper Gastrointestinal Surgery	240	435	1	214	346	0	-26	-89	-1
Vascular Surgery	898	2,597	209	825	2,431	681	-73	-166	472
	16,619	58,690	9,435	15,943	61,468	9,806	-676	2,778	371
<u>Specialty Group: 3 - Division C</u>									
Diabetic Medicine	554	4,860	57	557	5,263	33	3	403	-24
Dermatology	3,916	8,169	5,761	3,962	9,265	6,355	46	1,096	594
Endocrinology	1,118	3,720	315	1,062	4,831	153	-56	1,111	-162
General Medicine	1,014	4,670	17	750	3,158	2	-264	-1,512	-15
GenitoUrinary Medicine	20,874	11,174	1	20,083	10,611	2	-791	-563	1
Geriatric Medicine	356	653	5	222	502	23	-134	-151	18
Infectious Diseases	24	11	0	0	0	0	-24	-11	0
Ophthalmology	3,958	15,756	2,426	4,338	15,643	3,773	380	-113	1,347
Pain Management	854	1,650	260	974	2,009	301	120	359	41
Respiratory Medicine	877	4,370	7	1,241	5,424	68	364	1,054	61
Rheumatology	1,211	7,495	1	1,097	7,626	0	-114	131	-1
	34,756	62,528	8,849	34,286	64,332	10,710	-470	1,804	1,861
<u>Specialty Group: 4 - Division D</u>									
Burns care	0	0	43	0	0	46	0	0	3
Clinical Oncology	4,224	11,191	9	4,310	12,277	2	86	1,086	-7
ENT	3,101	7,127	5,911	2,798	5,983	6,944	-303	-1,144	1,033
Clinical Haematology	956	9,284	1	1,669	12,218	148	713	2,934	147
Maxillo-facial surgery	2,312	5,464	1,104	2,864	5,575	1,271	552	111	167
Medical Oncology	785	7,697	407	1,205	8,129	289	420	432	-118
Neurology	89	6	74	256	137	259	167	131	185
Neurosurgery	0	0	6	0	0	1	0	0	-5
Plastic Surgery	1,742	7,743	1,873	1,502	6,765	1,852	-240	-978	-21
Trauma & Orthopaedics	4,950	14,082	991	4,881	14,400	1,642	-69	318	651
Urology	2,253	6,589	3,009	2,357	6,022	3,512	104	-567	503
	20,412	69,184	13,428	21,842	71,506	15,966	1,430	2,322	2,538
<u>Summary: Services Under PbR</u>	71,787	190,402	32,092	72,131	197,360	37,034	344	6,958	4,942

Specialty	ACTIVITY								
	Target			Actual			Variance		
	New	Follow-Up	Procedure	New	Follow-Up	Procedure	New	Follow-Up	Procedure
<u>Services at Local Prices</u>									
<u>Specialty Group: 1 - Division A</u>									
Interventional Radiology	55	43	0	49	52	0	-6	9	0
Physiotherapy	3,984	19,096	0	4,230	23,033	0	246	3,937	0
Podiatry	209	617	1	245	686	0	36	69	-1
Speech & Language Therapy	383	842	0	370	973	0	-13	131	0
Nutrition & Dietetics	886	2,317	0	1,192	2,502	0	306	185	0
Occupational Therapy	237	415	0	260	483	0	23	68	0
Upper Limb	1,012	6,000	0	1,229	7,371	0	217	1,371	0
	6,765	29,329	1	7,575	35,100	0	810	5,771	-1
<u>Specialty Group: 2 - Division B</u>									
Cardiac MRI	1,754	0	0	1,816	0	0	62	0	0
Cardiology	105	419	1	104	243	0	-1	-176	-1
Cardiothoracic Surgery	0	603	1	2	465	0	2	-138	-1
Cardiothoracic Transplantation	0	24	0	5	41	0	5	17	0
Colorectal Surgery	5	30	1	0	57	0	-5	27	-1
General Surgery	0	16	3	0	0	0	0	-16	-3
Hepatology	7	266	1	7	397	0	0	131	-1
Liver Transplantation	0	279	0	0	296	0	0	17	0
Nephrology	114	824	0	0	170	0	-114	-654	0
Vascular Surgery	0	0	1	0	0	0	0	0	-1
	2,025	3,819	11	1,983	3,128	0	-42	-691	-11
<u>Specialty Group: 3 - Division C</u>									
Accident & Emergency	958	237	0	960	231	0	2	-6	0
Dermatology	3	676	0	0	1	0	-3	-675	0
Diabetic Medicine	0	1,320	0	1	1,831	0	1	511	0
Endocrinology	0	1	0	1	1	0	1	0	0
General Medicine	0	144	3	1,260	131	0	1,260	-13	-3
Genitourinary Medicine	602	9,791	0	176	11,608	0	-426	1,817	0
Ophthalmology	1	0	0	0	0	0	-1	0	0
Pain Management	0	1	0	0	0	0	0	-1	0
Respiratory Medicine	0	0	1	0	0	0	0	0	-1
Rheumatology	0	324	2	0	385	0	0	61	-2
	1,564	12,493	5	2,398	14,188	0	834	1,695	-5
<u>Specialty Group: 4 - Division D</u>									
Audiology	697	9,560	0	809	10,623	0	112	1,063	0
Burns care	0	74	0	0	40	0	0	-34	0
Clinical Oncology	9	0	0	3	0	0	-6	0	0
Clinical Haematology	245	17,314	0	0	15,224	0	-245	-2,090	0
ENT	1	22	12	0	0	0	-1	-22	-12
Maxillo-facial surgery	0	0	5	0	0	0	0	0	-5
Medical Oncology	421	4,623	1	38	5,685	0	-383	1,062	-1
Neurology	4,735	10,999	28	4,101	10,763	0	-634	-236	-28
Neurosurgery	2,474	7,393	0	2,517	6,142	0	43	-1,251	0
Plastic Surgery	0	0	54	0	0	0	0	0	-54
Trauma & Orthopaedics	246	1,584	80	339	1,865	0	93	281	-80
Urology	238	2	0	293	0	0	55	-2	0
	9,066	51,570	180	8,100	50,342	0	-966	-1,228	-180
<u>Summary: Services at Local Prices</u>									
	19,421	97,212	198	20,056	102,758	0	635	5,546	-198
Total Outpatients (PBR & Local)	91,207	287,614	32,290	92,187	300,118	37,034	980	12,504	4,744

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 SLAM REPORT: Inpatient Activity by Commissioner - Month 8 (April-November March 2013)

APPENDIX G(2)

		ADMITTED PATIENT CARE											
		ELECTIVE APC				NON-ELECTIVE APC				TOTAL			
		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE	
WEST MIDLANDS PCTS	MAND	26,769	30,186	3,417	113%	20,554	21,355	801	104%	47,323	51,541	4,218	109%
	NON-TARIFF	795	1,823	1,028	229%	1,345	346	- 999	26%	2,140	2,169	29	101%
TOTAL WEST MIDLANDS PCTs	TOTAL	27,564	32,009	4,445	116%	21,899	21,701	- 199	99%	49,463	53,710	4,247	109%
WMSCT	MAND	3,174	3,392	218	107%	1,639	1,600	- 39	98%	4,814	4,992	178	104%
	NON-TARIFF	519	535	16	103%	260	238	- 22	92%	779	773	- 6	99%
TOTAL WEST MIDLANDS SPECIALISED	TOTAL	3,694	3,927	233	106%	1,899	1,838	- 61	97%	5,592	5,765	173	103%
OUT OF AREA COMMISSIONERS	MAND	4,879	989	- 3,890	20%	2,059	413	- 1,646	20%	6,938	1,402	- 5,536	20%
	NON-TARIFF	122	109	- 13	90%	79	74	- 5	93%	201	183	- 18	91%
TOTAL ALL OTHER COMMISSIONERS	TOTAL	5,000	1,098	- 3,902	22%	2,138	487	- 1,651	23%	7,138	1,585	- 5,553	22%
NON-CONTRACTED ACTIVITY	MAND	135	204	69	151%	126	178	52	142%	261	382	121	146%
	NON-TARIFF	5	6	1	111%	86	10	- 76	12%	91	16	- 75	18%
TOTAL NON-CONTRACTED ACTIVITY	TOTAL	141	210	69	149%	212	188	- 24	89%	352	398	46	113%
TOTAL MANDATORY	MAND	34,957	34,771	- 186	99%	24,378	23,546	- 832	97%	59,335	58,317	- 1,018	98%
TOTAL NON-MANDATORY	NON-TARIFF	1,441	2,473	1,032	172%	1,770	668	- 1,102	38%	3,211	3,141	- 70	98%
TOTAL	TOTAL	36,398	37,244	846	102%	26,148	24,214	- 1,934	93%	62,546	61,458	- 1,088	98%

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 SLAM REPORT: Outpatient Activity by Commissioner - Month 8 (April-November 2012)

APPENDIX G(2)

OUTPATIENT ATTENDANCES																	
		FIRST ATTENDANCE				FOLLOW-UP ATTENDANCE				PROCEDURES				TOTAL			
		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE	
WEST MIDLANDS PCTS	MAND	64,458	70,202	- 5,744	109%	177,178	189,490	- 12,312	107%	31,033	35,977	- 4,944	116%	272,669	295,669	- 23,000	108%
	NON-TARIFF	6,830	6,400	- 430	94%	41,939	39,974	- 1,965	95%	0	1	- 1	n/a	48,769	46,375	- 2,394	95%
TOTAL WEST MIDLANDS PCTS	TOTAL	71,287	76,602	- 5,315	107%	219,117	229,464	- 10,347	105%	31,033	35,978	- 4,945	116%	321,438	342,044	- 20,606	106%
WMSCT	MAND	101	133	- 32	132%	727	1,134	- 407	156%	117	318	- 201	272%	944	1,585	- 641	168%
	NON-TARIFF	4,992	4,487	- 505	90%	20,079	23,696	- 3,617	118%	-	-	-	0%	25,071	28,183	- 3,112	112%
TOTAL WEST MIDLANDS SPECIALISED	TOTAL	5,092	4,620	- 472	91%	20,806	24,830	- 4,024	119%	117	318	- 201	272%	26,016	29,768	- 3,752	114%
OUT OF AREA COMMISSIONERS	MAND	7,078	1,337	- 5,741	19%	11,898	5,548	- 6,350	47%	804	593	- 211	74%	19,781	7,478	- 12,303	38%
	NON-TARIFF	790	252	- 538	32%	3,050	1,031	- 2,019	34%	118	-	- 118	0%	3,957	1,283	- 2,674	32%
TOTAL ALL OTHER COMMISSIONERS	TOTAL	7,868	1,589	- 6,279	20%	14,948	6,579	- 8,369	44%	922	593	- 329	64%	23,738	8,761	- 14,977	37%
NON-CONTRACTED ACTIVITY	MAND	147	457	- 310	310%	596	1,184	- 588	199%	136	146	- 10	107%	879	1,787	- 908	203%
	NON-TARIFF	47	63	- 16	133%	131	221	- 90	169%	80	-	- 80	0%	259	284	- 25	110%
TOTAL NON-CONTRACTED ACTIVITY	TOTAL	195	520	- 325	267%	727	1,405	- 678	193%	216	146	- 70	67%	1,138	2,071	- 933	182%
TOTAL MANDATORY	MAND	71,784	72,129	345	100%	190,398	197,356	6,958	104%	32,091	37,034	4,943	0%	294,273	306,519	12,246	104%
TOTAL NON-MANDATORY	NON-TARIFF	12,658	11,202	- 1,456	88%	65,200	64,922	- 278	100%	198	1	- 197	1%	78,056	76,125	- 1,931	98%
TOTAL	TOTAL	84,442	83,331	- 1,111	99%	255,598	262,278	6,680	103%	32,289	37,035	4,746	115%	372,329	382,644	10,315	103%