


AGENDA ITEM NO:

**UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
REPORT TO THE BOARD OF DIRECTORS
THURSDAY 26 JULY 2012**

| | |
|------------------------------|---|
| Title: | FINANCE AND ACTIVITY PERFORMANCE REPORT FOR THE PERIOD ENDING 30 JUNE 2012 |
| Responsible Director: | Mike Sexton, Director of Finance |
| Contact: | Julian Miller, Deputy Director of Finance, ext. 53074 |

| | |
|--|--|
| Purpose: | To present an update to the Board |
| Confidentiality Level & Reason: | N/A |
| Medium Term Plan Ref: | Aim 2: Maintain our reputation and position at the leading edge of performance and quality Aim 3: Enhance our reputation for excellent financial management and efficiency |
| Key Issues Summary: | An actual surplus of £0.464m has been recorded for the first three months of the 2012/13 financial year. This represents a favourable variance of £0.264m compared to the planned surplus of £0.200m for the year to date. |
| Recommendations: | The Board of Directors is asked to: <ul style="list-style-type: none">• Receive the contents of this report.• Agree that Monitor's new combined Governance Statement should be signed confirming that the Board expects the Trust to continue to maintain a minimum FRR of 3 for the next 12 months.• Approve the increase in the Trusts working capital facility to £46.6m. |

| | |
|--|---------------------------|
| Signed:  | Date: 17 July 2012 |
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UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST

REPORT TO THE BOARD OF DIRECTORS THURSDAY 26 JULY 2012

FINANCE AND ACTIVITY PERFORMANCE REPORT FOR THE PERIOD ENDING 30 JUNE 2012

PRESENTED BY THE DIRECTOR OF FINANCE

1. Introduction

This report covers the first three months of the 2012/13 financial year from 1 April 2012 to 30 June 2012. It includes the three main financial statements; the Statement of Comprehensive Income, the Statement of Position and the Cash Flow Statement. The report also details healthcare activity and the associated income, key operating expenditure variances, CIP delivery and a summary of the capital programme.

The 2012/13 Financial Plan provides for an annual surplus of £0.500m. An actual surplus of £0.464m has been achieved for the three months to 30 June 2012. This represents a favourable variance of £0.264m against the planned surplus of £0.200m for the year to date.

The overall reported position includes an aggregate overspend of (£3.845m) across operational divisions. This largely reflects activity related expenditure and CIP slippage and action plans are being developed with Divisions to improve the monthly run rate. The operational overspend has been offset by additional income and Trust Specific Reserves.

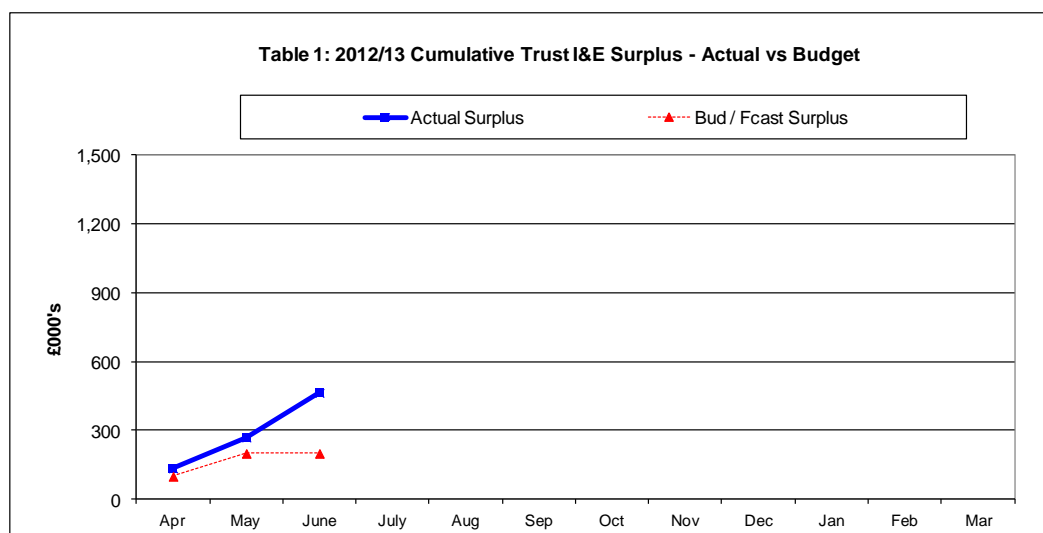
The Trust's Financial Risk Rating (FRR) is expected to remain at 3 based on self assessment of the 2012/13 Annual Plan and Quarter 1 results.

2. Financial Position Summary

2.1 Year End Position

The cumulative income and expenditure surplus is shown in Table 1 below. As detailed above the actual year to date surplus is £0.464m, which is £0.264m above the planned £0.200m surplus.

Table 1 – I&E Surplus vs. Plan 2012/13



The statement of comprehensive income appears in Appendix C. Table 2 below summarises the Trusts revenue transactions for the year. Further analysis of income is included in section 3.1 and detail regarding operating expenses is set out in section 3.3.

Table 2 – YTD Income and Expenditure Budget vs. Actual

| | Budget Apr-Jun 2012 £m | Actual Apr-Jun 2012 £m | Variance £m |
|-------------------------|------------------------------|------------------------------|----------------|
| Revenue | 150.1 | 155.5 | 5.4 |
| Operating Expenses | (139.8) | (144.7) | (4.9) |
| EBITDA | 10.3 | 10.8 | 0.5 |
| Depreciation | (4.8) | (5.0) | (0.2) |
| Donated Asset Revenue | 0.0 | 0.0 | 0.0 |
| Interest Receivable | 0.2 | 0.2 | 0.0 |
| Interest Payable | (4.6) | (4.6) | 0.0 |
| Contingent Rental | (0.9) | (0.9) | 0.0 |
| PDC Dividend | 0.0 | 0.0 | 0.0 |
| Retained Surplus | 0.2 | 0.5 | 0.3 |

Note - may include rounding differences

3. Income and Expenditure

3.1 Income Analysis

Total operating income is £5.4m above budget at 30 June 2012, due to additional NHS Clinical Income. This includes £2.3m which is attributable to over-performance against contract in respect of high cost drugs and devices with the balance being due to contract variations and other cost per case income. Non-NHS Clinical Income is (£0.1m) below plan and Other Income is above plan by £0.1m.

Table 3 – Income against plan

| | Budget Apr-Jun 2012 £m | Actual Apr-Jun 2012 £m | Variance £m |
|--------------------|------------------------------|------------------------------|----------------|
| Clinical - NHS | 117.8 | 123.2 | 5.4 |
| Clinical - Non NHS | 3.2 | 3.1 | (0.1) |
| Other | 29.1 | 29.2 | 0.1 |
| TOTAL | 150.1 | 155.5 | 5.4 |

Note - may include rounding differences

3.2 NHS Clinical Income / Activity

Table 4.1 below compares 2012/13 monthly admitted patient care activity against target levels for the first two months of the financial year. This shows that actual inpatient activity (both Payment by Results spells and non Payment by Results FCEs) was in line with planned activity for first two months of the financial year.

Table 4.1 – Trust Inpatient Activity

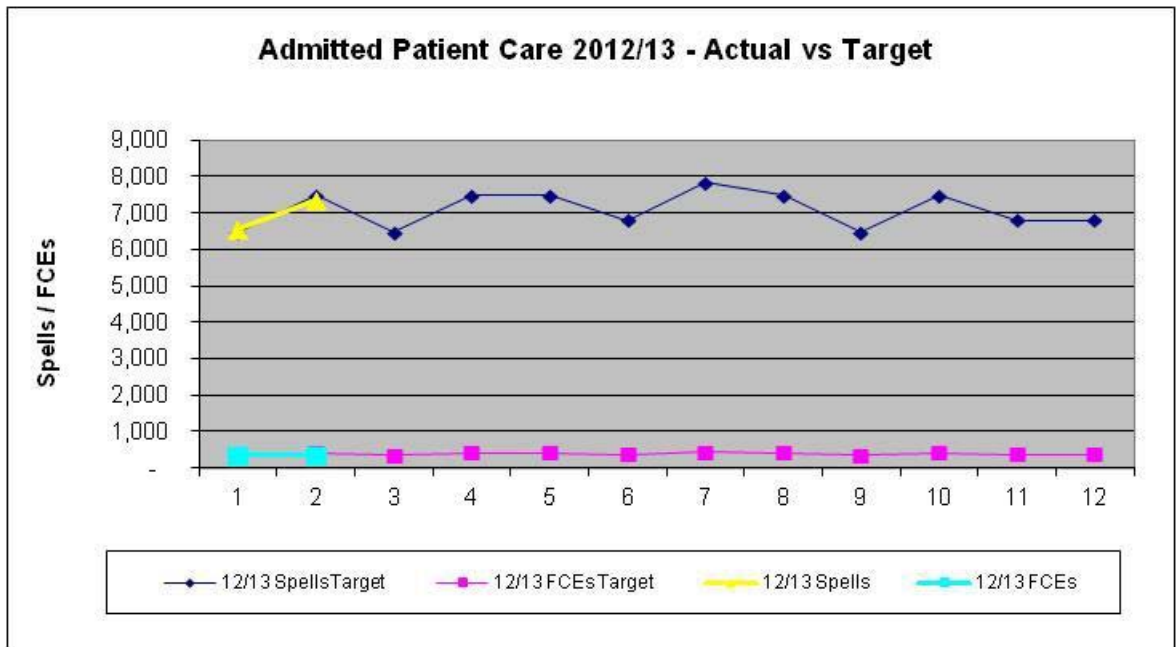


Table 4.2 shows that new outpatient attendances were marginally below plan in the first two months of the financial year, whilst outpatient procedures and follow up attendances were slightly above plan.

Table 4.2 – Trust Outpatient Activity

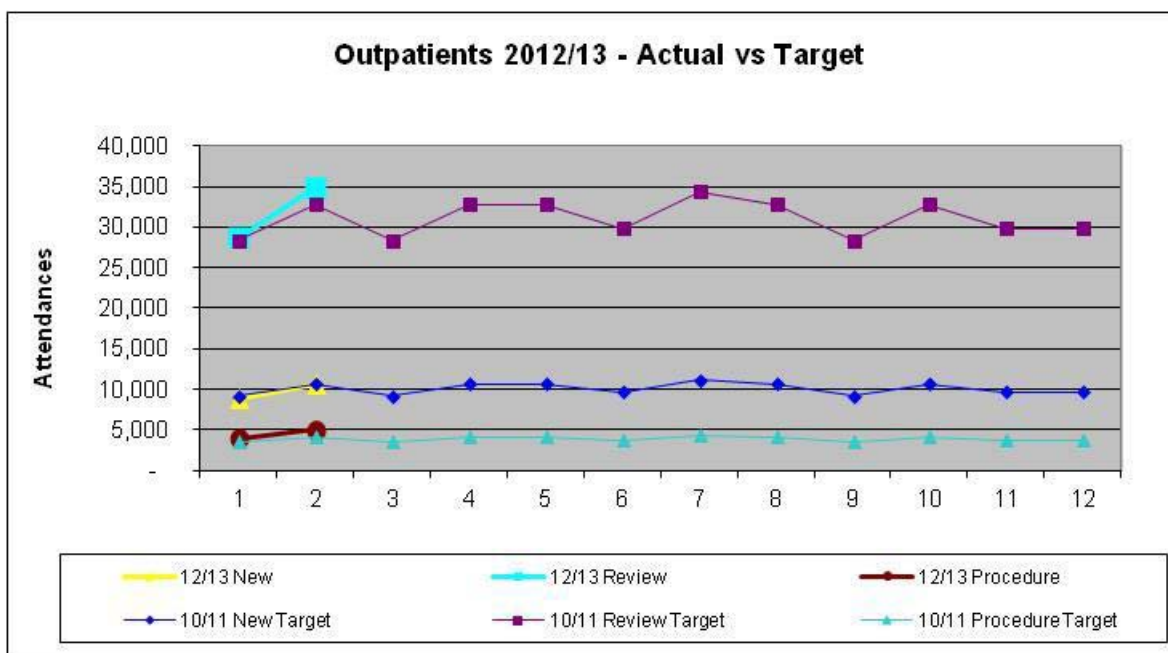


Table 5 below shows the variance by Division and Point of Delivery against the 2012/13 healthcare income targets. This shows that healthcare income (excluding cost per case) is £1.4m ahead of plan up to 31 May 2012. It should be noted that the monthly income targets within the contract monitoring are now phased based on working days rather than straight twelfths. This has been requested by the Trust's main commissioner (NHS South Birmingham) and should give a more accurate indication of actual performance. An activity performance summary against plan by specialty and commissioner is included as Appendix G.

Table 5 – Summary Healthcare Income Performance by Division by Point of Delivery

| | YTD Variance against Divisional Baselines (£000) | | | | |
|--------------|--|--------------|--------------|------------|--------------|
| | Div A | Div B | Div C | Div D | Total |
| Inpatient | 112 | (790) | 575 | (55) | (158) |
| Outpatient | 54 | 56 | 498 | 188 | 797 |
| Other | (108) | (59) | 191 | 714 | 738 |
| Total | 59 | (793) | 1,264 | 847 | 1,376 |

Note - may include rounding differences

3.3

Expenditure Analysis

The Trust wide subjective expenditure analysis in Table 6 shows an over spend of (£4.9m) against budgeted expenditure of £139.8m for the year. The main areas of overspend include Pay (£3.2m) and cost per case drugs (£1.2m).

Table 6 – Expenditure against plan

| | Budget Apr-Jun 2012 £m | Actual Apr-Jun 2012 £m | Variance £m |
|------------------------------|------------------------------|------------------------------|----------------|
| PAY | | | |
| Medical Staff | 24.8 | 25.9 | (1.1) |
| Nursing | 26.1 | 27.1 | (1.0) |
| Scientific & Technical | 9.9 | 10.0 | (0.1) |
| SMP / A&C | 12.6 | 13.5 | (0.9) |
| Other / Pay Reserves | 4.4 | 4.5 | (0.1) |
| Total Pay | 77.8 | 81.0 | (3.2) |
| NON PAY | | | |
| Drugs | 17.2 | 18.4 | (1.2) |
| Clinical Supplies & Services | 18.7 | 18.6 | 0.1 |
| Other / Non-Pay Reserves | 26.1 | 26.7 | (0.6) |
| Total Non Pay | 62.0 | 63.7 | (1.7) |
| GRAND TOTAL | 139.8 | 144.7 | (4.9) |

Note - may include rounding differences

3.4 Cost Improvement Programme

The Trust's 2012/13 Financial Plan includes a total efficiency savings target of £18.3m. For the first three months of the financial year (April – June) 85% of the planned Cost Improvement Programme (CIP) has been delivered, resulting in slippage of (£0.676m). Further work will be undertaken to increase CIP delivery and identify additional contingency schemes. The current forecast projects 93% achievement of plan by 31 March 2013.

Table 7 – Delivery of Cost Improvements

| £000 | Cumulative variance against plan | | | | Y/E Forecast |
|-----------------|----------------------------------|----|----|----|-----------------|
| | Q1 | Q2 | Q3 | Q4 | |
| Division A | (27) | | | | (15) |
| Division B | 54 | | | | (14) |
| Division C | (51) | | | | (237) |
| Division D | (448) | | | | (822) |
| Corporate & NHP | (164) | | | | (277) |
| Single Site | (40) | | | | (0) |
| Total | (676) | | | | (1366) |
| % Achieved | 85% | | | | 93% |

4. Divisional Analysis

Divisional budgets recorded an adverse variance of (£3.845m) for the first quarter of the financial year (April – June). This is offset by the release of £0.468m from the General Contingency Reserve which is profiled in equal twelfths and a further £3.700m released from the Trust's Specific Reserves.

Table 8 – Analysis of year to date variances by Division

| | Income | Expenditure | Total |
|---|--------------|----------------|----------------|
| | £'000 | £'000 | £'000 |
| Division A | 406 | (1,564) | (1,158) |
| Division B | 458 | (1,312) | (854) |
| Division C | (233) | (1,322) | (1,555) |
| Division D | (337) | (254) | (591) |
| Division E | 4,600 | (4,287) | 313 |
| Sub - Total | 4,894 | (8,739) | (3,845) |
| Healthcare Income | 0 | 0 | 0 |
| TOTAL | 4,894 | (8,739) | (3,845) |
| <i>Memo General Contingency Reserve</i> | | | 468 |

Note - may include rounding differences

During June the net overspend across operational divisions was (£794,000). This reflects a significant improvement against the average monthly overspend during the first two months (£1.518m). Nursing pay (including Theatre ODPs) was (£302,000) above budget in the month. The majority of the nursing overspend (£204,000) is across ward areas, including (£99,000) relating to the fifth floor, and reflects a combination of pressures including providing additional nursing for specific patients ('specials'), along with sickness and vacancy cover. Other specific departmental pressures included Theatres (£33,000), Critical Care (£43,000) and A&E (£22,000) due to activity pressures and the use of external agency staff to cover vacancies and sick leave.

The aggregate medical staffing overspend in June was (£319,000). Of this (£245,000) relates to locum cover and waiting list payments for senior medical staff in a number of areas including Dermatology, Trauma, CDU and Oncology. The balance (£74,000) related to junior and middle grade doctors with agency costs in excess of funded vacancies in key areas including Anaesthetics and A&E.

Non-pay cost pressures in the months included Theatres (£101,000), Imaging (£77,000) and Pharmacy (£49,000). The expenditure appears to be largely activity related, however there is also an element of CIP slippage in the first quarter resulting in non pay cost being above budget.

Income relating to the Injury Cost Recovery Scheme was (£48,000) under budget and total private patient income was (£160,000) lower than plan in June, primarily due to underperformance in Division D (Neurosurgery, Oncology and Clinical Haematology). This was offset by £118,000 of additional VAD and transplant income in Cardiac Surgery and £97,000 of additional SLA income within Imaging and Medical Physics.

5. Statement of Financial Position

The Statement of Financial Position shows the Trust assets and liabilities. The upper part of the statement shows net assets after deducting short and long term liabilities. The lower part identifies the sources of finance. Table 9 summarises the Trust's Statement of Financial Position at 30 June 2012.

Table 9 – Statement of Financial Position

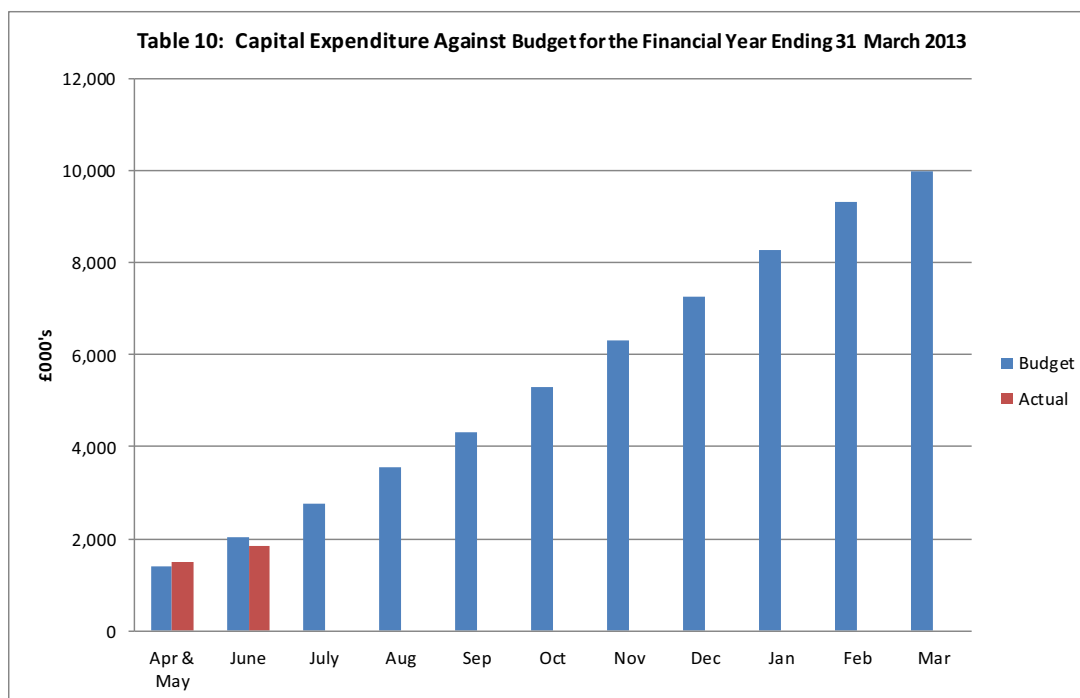
| | Audited Mar 2012 £m | Actual Jun 2012 £m | YTD Plan Jun 2012 £m | Annual Plan Mar 2013 £m |
|--------------------------------------|---------------------------|--------------------------|----------------------------|-------------------------------|
| Non Current Assets: | | | | |
| Property, Plant and Equipment | 513.2 | 510.2 | 510.8 | 507.2 |
| Intangible Assets | 0.8 | 0.7 | 0.8 | 0.8 |
| Trade and Other Receivables | 2.9 | 2.9 | 2.9 | 2.9 |
| Other Assets | 0.2 | 0.2 | 0.0 | 0.0 |
| Total Non Current Assets | 517.1 | 514.0 | 514.5 | 510.9 |
| Current Assets: | | | | |
| Inventories | 12.2 | 15.1 | 12.9 | 12.3 |
| Trade and Other Receivables | 33.0 | 14.8 | 23.9 | 31.0 |
| Other Financial Assets | 0.6 | 11.9 | 7.9 | 5.9 |
| Other Current Assets | 4.4 | 8.6 | 12.0 | 0.6 |
| Cash | 66.7 | 65.3 | 54.1 | 50.7 |
| Total Current Assets | 117.1 | 115.7 | 110.8 | 100.5 |
| Current Liabilities: | | | | |
| Trade and Other Payables | 82.5 | 69.3 | 67.7 | 70.1 |
| Borrowings | 0.0 | 12.3 | 12.3 | 11.8 |
| Provisions | 3.7 | 3.5 | 3.7 | 3.7 |
| Tax Payable | 6.9 | 6.8 | 6.7 | 6.9 |
| Other Liabilities | 23.9 | 20.8 | 20.6 | 22.0 |
| Total Current Liabilities | 116.9 | 112.7 | 111.0 | 114.5 |
| Non Current Liabilities: | | | | |
| Borrowings | 545.9 | 542.7 | 542.8 | 534.0 |
| Provisions | 1.6 | 1.6 | 1.6 | 1.6 |
| Other Liabilities | 29.8 | 32.3 | 29.8 | 20.8 |
| Total Non Current Liabilities | 577.4 | 576.6 | 574.2 | 556.4 |
| TOTAL ASSETS EMPLOYED | (60.1) | (59.6) | (59.9) | (59.5) |
| Financed by: | | | | |
| Public Dividend Capital | 171.0 | 171.0 | 171.0 | 171.0 |
| Income & Expenditure Reserve | (339.5) | (339.0) | (339.3) | (338.9) |
| Donated Asset Reserve | 0.0 | 0.0 | 0.0 | 0.0 |
| Revaluation Reserve | 108.4 | 108.3 | 108.4 | 108.4 |
| TOTAL TAXPAYERS EQUITY | (60.1) | (59.6) | (59.9) | (59.5) |

Note - may include rounding differences

6. Capital Programme

The approved capital programme for 2012/13 is £13.2m, including donated assets. Actual expenditure to 30 June totalled £1.9m which is slightly below the planned £2.0m budget. Expenditure in the period included £0.2m on the plaza works and £0.2m on QE building refurbishment (Wellcome and Nuffield house). A summary of expenditure against major schemes is provided in Appendix D and further detail of the capital programme is provided in the separate update paper.

Table 10 – Capital Expenditure against plan



7. Analysis of Current Assets (excluding Inventories and Cash)

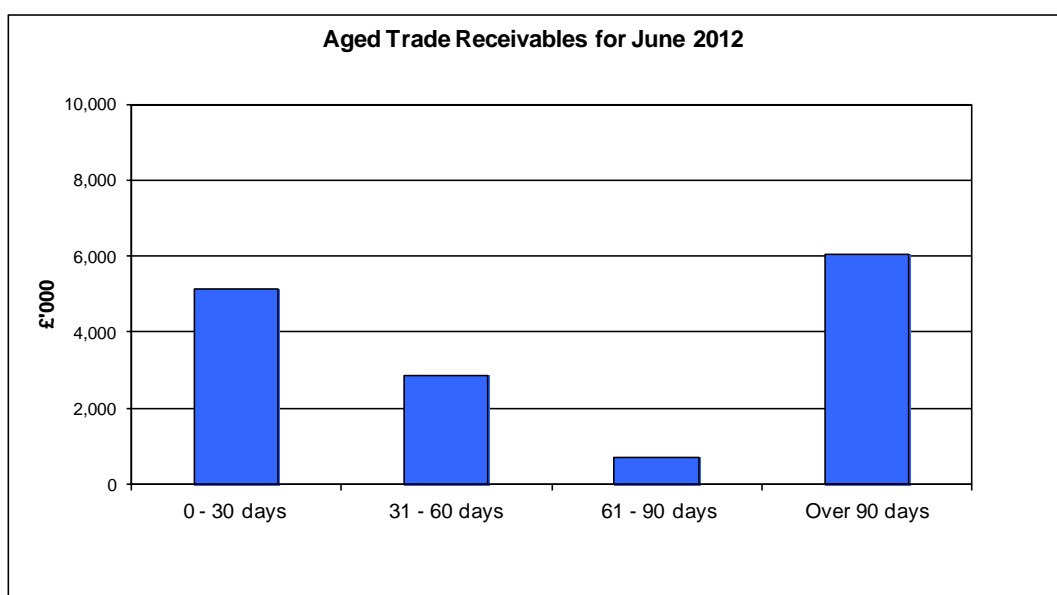
The value of current assets (excluding inventories and cash) due within one year was £35.3m at 30 June 2012. Of this, £11.3m relates to Trade Receivables (unpaid invoices raised by the Trust). Analysis of the outstanding debt by age is included in Appendix E and summarised in table 12 over the page.

Over 90 day debt as at 30 June increased to £6.1m due largely to 2011/12 estimated NHS activity over performance invoices raised in March which have yet to be settled by commissioners. Of the total amount £3.9m is attributable to debt with NHS commissioners with the largest balances relating to the Welsh Specialised Commissioning Agency (£1.2m), Sandwell PCT (£1.0m) and Shropshire County PCT (£0.7m). A further £2.1m relates to debt with NHS providers, including Birmingham Women's Hospital (£1.0m) and Sandwell and West Birmingham (£0.4m). These amounts are generally matched by reciprocal creditor balances so there is little adverse impact on cash flow.

Table 11 – Analysis of Current Assets (excluding Inventories and Cash)

| | Actual Jun 2012 £m | Forecast Jun 2012 £m |
|------------------------------------|-----------------------------------|-------------------------------------|
| Trade Receivables | 11.3 | 22.0 |
| Bad Debt Provision | -0.8 | -1.3 |
| Other Receivables | 4.3 | 3.2 |
| Trade and Other Receivables | 14.8 | 23.9 |
| Accrued Income | 11.9 | 12.0 |
| Other Financial Assets | 11.9 | 12.0 |
| Prepayments | 8.6 | 7.9 |
| Deferred Asset | 0.0 | 0.0 |
| Other Current Assets | 8.6 | 7.9 |
| TOTAL | 35.3 | 43.8 |

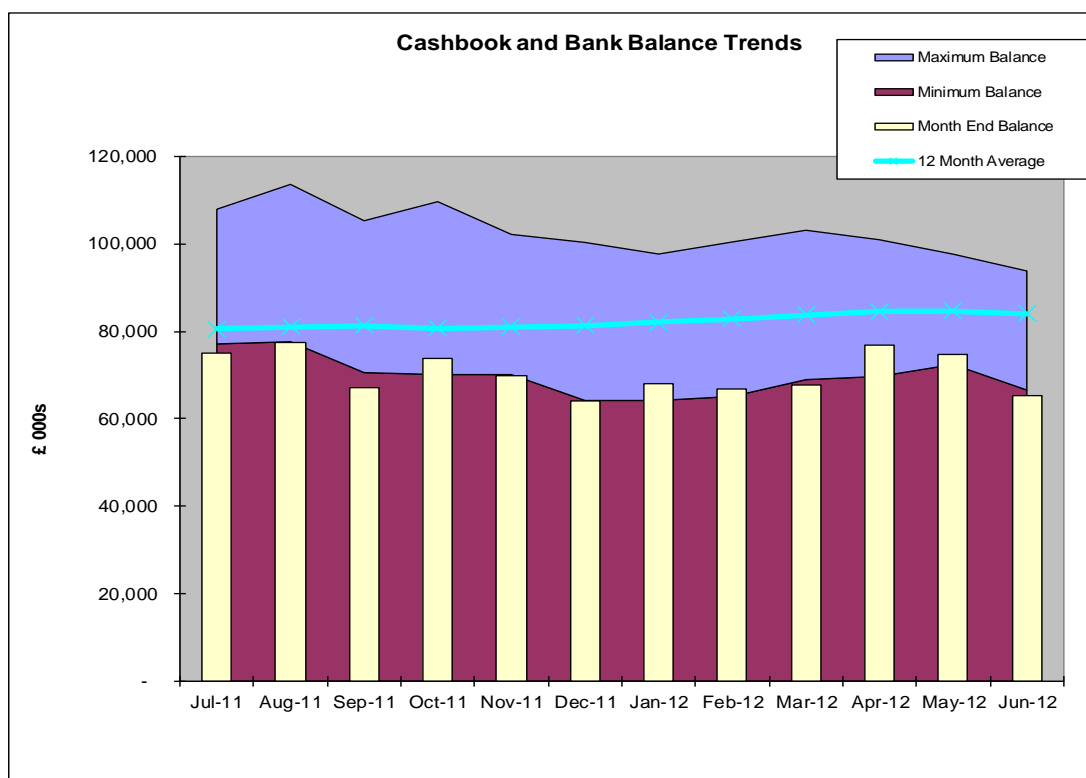
Table 12 – Aged Debt Analysis of Trade Receivables due within One Year



8. Cash Flow

A cash flow statement is included as Appendix F showing actual cash received and paid out against forecast. Table 13 shows the month end cash balance, the minimum and maximum daily bank balance in the month and a twelve month rolling average bank balance. The actual cashbook balance at 30 June 2012 was £65.3m which is £11.2m above plan. This largely reflects a favourable movement in working capital due to debtors being below plan and creditors being higher than planned at the end of June 2012. Consequently the twelve month rolling average has remained stable over recent months rather than reducing as previously expected.

Table 13 – Cash Flow



9. Working Capital Facility

There is a requirement to increase the Trusts currently working capital facility from £30.0m to £46.6m to ensure that the Trust meets Monitor’s liquidity ratio requirements. The overdraft has not been used to date and there are no plans to call on the facility in the immediate future.

10. Monitor Ratios

10.1 Borrowing Ratios

New hospital PFI borrowing is incorporated into the Trust’s Prudential Borrowing Code as it is considered to be a form of financing or loan. Therefore, the Tier 2 Borrowing Ratios now apply:

Table 14 - Borrowing Ratios

| Borrowing Ratio: | Limit | Annual Plan - 2012 | Actual - Jun 2012 |
|---------------------------------|-------|--------------------|-------------------|
| Minimum Dividend Cover | > 1.0 | n/a | n/a |
| Minimum Interest Cover | > 2.0 | 1.9 | 2.2 |
| Minimum Debt Service Cover | > 1.5 | 1.2 | 1.3 |
| Maximum Debt Service to Revenue | < 10% | 5.1% | 5.0% |

The first three ratios compare how many times the Trust’s income and expenditure surplus can cover the PDC dividend, the interest payable

on the PFI loan and the debt service of the PFI loan respectively (debt service is the sum of interest paid and the capital repayment of the loan). The final ratio compares how many times the Trust's total income covers the Debt Service on the PFI loan.

The Annual Plan suggests that the Trust will be slightly under the Tier 2 Limits for the Minimum Interest Cover (1.9 vs 2.0 limit) and the Minimum Debt Service (1.2 vs a limit of 1.5), although based on actual performance to date only the latter has been breached. Monitor's prudential borrowing guidance allows for borrowing limits to be authorised which exceed the ratios in certain circumstances (for example a major renovation or redevelopment). Therefore this does not affect the Financial Risk Rating however access to potential additional borrowing (e.g. Finance Leases) may be restricted.

The Trust's current Prudential Borrowing Limit (PBL) for long term borrowing is £564.5m.

10.2 Financial Risk Rating

The Trust's Financial Risk Rating (FRR) is expected to remain at 3 throughout 2012/13 based on self assessment of the Annual Plan and Quarter 1 results. The rating is set between 1 (worst) and 5 (best) based on a series of financial metrics.

11. **Monitor Finance Declaration**

As in previous years, FT's are required to submit a quarterly statement confirming that the Board anticipates that the Trust will continue to maintain a financial risk rating of at least 3 for the next 12 months. If this cannot be confirmed the Trust is required provide additional information detailing the Trust's response.

For 2012/13 the Finance Declaration has been combined with the previous Governance and Quality Declarations and there is now only a single form to be signed covering all three statements. A copy of the consolidated form is attached to a separate Board paper, the Monitor Quarterly Governance Declaration – Quarter 1 Report. With regard to Finance, as at Quarter 1 2012/13, the Board is recommended to confirm that it anticipates that the Trust will continue to maintain an FRR of at least 3 for the next 12 months, as current performance and future planning suggests that this will be the case.

12. **Conclusion**

An income and expenditure surplus of £0.464m has been reported for the first quarter of the 2012/13 financial year. This represents a favourable variance of £0.264m against the planned £0.200m surplus.

The overall position represents a strong start to the new financial year in the context of the continuing activity increases, with overspends across operational divisions covered by additional income and Trust reserves.

13. Recommendations

The Board of Directors is asked to:

- Receive the contents of this report.
- Agree that Monitor's new combined Governance Statement should be signed confirming that the Board expects the Trust to continue to maintain a minimum FRR of 3 for the next 12 months.
- Approve the increase in the Trusts working capital facility to £46.6m.

A handwritten signature in black ink, consisting of a large capital letter 'M' followed by the name 'Sexton' in a cursive script.

Mike Sexton
Director of Finance
17 July 2012

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
STATEMENT OF COMPREHENSIVE INCOME - CHIEF OPERATING OFFICER

30th June 2012

| PERIOD | | BUDGET | | BUDGET HOLDER | | YEAR TO DATE | | | FORECAST OUTTURN | | |
|-----------------|-----------------|-------------------|-------------------------|----------------|------------------|-----------------|-----------------|-------------------|------------------|------------------|-------------------|
| BUDGET £'000 | ACTUAL £'000 | VARIANCE £'000 | BUDGET | | | BUDGET £'000 | ACTUAL £'000 | VARIANCE £'000 | BUDGET £'000 | ACTUAL £'000 | VARIANCE £'000 |
| 41,928 | 41,844 | (84) | HEALTHCARE INCOME | DIRECT INCOME | | 124,867 | 124,867 | 0 | 483,639 | 483,639 | 0 |
| 0 | 0 | 0 | | DIRECT EXP PAY | | 0 | 0 | 0 | 0 | 0 | 0 |
| 0 | 85 | 85 | | NON PAY | Kevin Bolger | 0 | 0 | 0 | 0 | 0 | 0 |
| 41,928 | 41,929 | 1 | TOTAL | TOTAL | | 124,867 | 124,867 | 0 | 483,639 | 483,639 | 0 |
| 851 | 1,010 | 159 | DIVISION A | DIRECT INCOME | | 2,779 | 3,185 | 406 | 11,362 | 11,362 | 0 |
| (7,703) | (7,925) | (222) | | DIRECT EXP PAY | | (23,195) | (23,841) | (646) | (92,935) | (92,935) | 0 |
| (2,884) | (3,115) | (231) | | NON PAY | | (8,755) | (9,673) | (918) | (33,875) | (33,875) | 0 |
| (9,736) | (10,030) | (294) | TOTAL | TOTAL | Dr. Ian Sharp | (29,171) | (30,329) | (1,158) | (115,448) | (115,448) | 0 |
| 436 | 520 | 84 | DIVISION B | DIRECT INCOME | | 1,630 | 2,088 | 458 | 4,660 | 4,660 | 0 |
| (4,042) | (4,169) | (127) | | DIRECT EXP PAY | | (11,908) | (12,378) | (470) | (47,074) | (47,074) | 0 |
| (3,325) | (3,576) | (251) | | NON PAY | | (9,838) | (10,680) | (842) | (37,052) | (37,052) | 0 |
| (6,931) | (7,225) | (294) | TOTAL | TOTAL | Dr Nick Murphy | (20,116) | (20,970) | (854) | (79,466) | (79,466) | 0 |
| 408 | 357 | (51) | DIVISION C | DIRECT INCOME | | 1,553 | 1,320 | (233) | 5,332 | 5,332 | 0 |
| (4,011) | (4,175) | (164) | | DIRECT EXP PAY | | (11,774) | (12,335) | (561) | (47,490) | (47,490) | 0 |
| (2,985) | (3,088) | (103) | | NON PAY | | (9,460) | (10,221) | (761) | (33,553) | (33,553) | 0 |
| (6,588) | (6,906) | (318) | TOTAL | TOTAL | Dr David Peake | (19,681) | (21,236) | (1,555) | (75,711) | (75,711) | 0 |
| 435 | 320 | (115) | DIVISION D | DIRECT INCOME | | 1,724 | 1,387 | (337) | 6,893 | 6,893 | 0 |
| (4,472) | (4,724) | (252) | | DIRECT EXP PAY | | (13,624) | (14,477) | (853) | (53,860) | (53,860) | 0 |
| (4,684) | (4,374) | 310 | | NON PAY | | (12,774) | (12,175) | 599 | (47,484) | (47,484) | 0 |
| (8,721) | (8,778) | (57) | TOTAL | TOTAL | Dr Prem Mahendra | (24,674) | (25,265) | (591) | (94,451) | (94,451) | 0 |
| (1,638) | 43 | 1,681 | CHIEF OPERATING OFFICER | DIRECT INCOME | | (4,495) | 105 | 4,600 | 2,442 | 2,442 | 0 |
| (477) | (1,022) | (545) | | DIRECT EXP PAY | | (1,421) | (2,991) | (1,570) | (5,714) | (5,714) | 0 |
| (165) | (1,133) | (968) | | NON PAY | | (1,595) | (4,312) | (2,717) | (4,562) | (4,562) | 0 |
| (2,280) | (2,112) | 168 | TOTAL | TOTAL | Kevin Bolger | (7,511) | (7,198) | 313 | (7,834) | (7,834) | 0 |
| 42,420 | 44,094 | 1,674 | TOTAL OPERATIONAL | INCOME | | 128,058 | 132,952 | 4,894 | 514,328 | 514,328 | 0 |
| (20,705) | (22,015) | (1,310) | DIVISIONS | PAY | | (61,922) | (66,022) | (4,100) | (247,073) | (247,073) | 0 |
| (14,043) | (15,201) | (1,158) | | NON PAY | | (42,422) | (47,061) | (4,639) | (156,526) | (156,526) | 0 |
| 7,672 | 6,878 | (794) | TOTAL SURPLUS | TOTAL SURPLUS | | 23,714 | 19,869 | (3,845) | 110,729 | 110,729 | 0 |

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
CONSOLIDATED INCOME STATEMENT

30th June 2012

APPENDIX C

| | Period To date | | Forecast Out-turn | |
|---------------------------------------|------------------|------------------|-------------------|------------------|
| | Budget | Actual | Budget | Actual |
| | £'000 | £'000 | £'000 | £'000 |
| Income | | | | |
| -Clinical - NHS | 117,800 | 123,240 | 471,300 | 471,300 |
| -Clinical - Non NHS | 3,200 | 3,140 | 12,800 | 12,800 |
| -Other | 29,100 | 29,132 | 116,300 | 116,300 |
| TOTAL INCOME | 150,100 | 155,512 | 600,400 | 600,400 |
| Operating Expenses | | | | |
| -Pay Costs | (77,800) | (80,997) | (311,200) | (311,200) |
| -Non Pay | (62,000) | (63,649) | (248,200) | (248,200) |
| -Depreciation | (4,800) | (5,044) | (19,300) | (19,300) |
| TOTAL EXPENDITURE | (144,600) | (149,690) | (578,700) | (578,700) |
| OPERATING SURPLUS | 5,500 | 5,822 | 21,700 | 21,700 |
| Interest Receivable | 200 | 168 | 800 | 800 |
| Interest Payable | (4,600) | (4,629) | (18,400) | (18,400) |
| Contingent Rental | (900) | (897) | (3,500) | (3,500) |
| Corporation Tax | 0 | 0 | 0 | 0 |
| PDC Dividends Payable | 0 | 0 | 0 | 0 |
| SURPLUS FOR THE FINANCIAL YEAR | 200 | 464 | 600 | 600 |
| Transition Costs | 0 | 0 | 0 | 0 |
| Impairments on Property | 0 | 0 | 0 | 0 |
| RETAINED SURPLUS FOR THE YEAR | 200 | 464 | 600 | 600 |
| Variance | £'000 | £'000 | £'000 | £'000 |
| | 5,440 | (60) | 32 | 5,412 |
| | (3,197) | (1,649) | (244) | (5,090) |
| | 322 | (32) | (29) | 3 |
| | 0 | 0 | 0 | 0 |
| | 0 | 0 | 0 | 0 |
| | 264 | 0 | 0 | 0 |
| | 264 | 0 | 0 | 0 |

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
CAPITAL PROGRAMME (NHS EXPENDITURE) 30th June 2012

| PERIOD | | | SCHEME | | | TO DATE | | | FORECAST OUTTURN | | |
|-----------------|-----------------|-------------------|-----------------|---|-------------------|-----------------|-----------------|-------------------|------------------|-----------------|-------------------|
| BUDGET £'000 | ACTUAL £'000 | VARIANCE £'000 | BUDGET £'000 | ACTUAL £'000 | VARIANCE £'000 | BUDGET £'000 | ACTUAL £'000 | VARIANCE £'000 | BUDGET £'000 | ACTUAL £'000 | VARIANCE £'000 |
| 20 | 14 | | 6 | Bfwd Schemes 11-12 | | 40 | 221 | (181) | 200 | 200 | 0 |
| 180 | 152 | | 28 | Retained Estate | | 670 | 533 | 137 | 4,900 | 4,900 | 0 |
| 75 | 181 | (106) | | Equipment Rolling Replacement | | 175 | 181 | (6) | 1,580 | 1,580 | 0 |
| 0 | 0 | | 0 | Equipment Replacement | | 400 | 403 | (3) | 500 | 500 | 0 |
| 50 | 309 | (259) | | Equipment Major Trauma Centre | | 450 | 468 | (18) | 750 | 750 | 0 |
| 0 | (294) | | 294 | Equipment Other | | 0 | 0 | 0 | 60 | 60 | 0 |
| 90 | 6 | | 84 | ICT Specific Project | | 140 | 47 | 93 | 1,150 | 1,150 | 0 |
| 0 | 0 | | 0 | Chief Operating Officer Discretionary Capital | | 0 | 0 | 0 | 300 | 300 | 0 |
| 200 | 0 | | 200 | New Hospital | | 200 | 0 | 200 | 350 | 350 | 0 |
| 50 | 0 | | 50 | Unallocated | | 50 | 0 | 50 | 500 | 500 | 0 |
| (25) | 0 | (25) | | Slippage | | (75) | 0 | (75) | (300) | (300) | 0 |
| 0 | 0 | | 0 | Gifted Assets | | 0 | 0 | 0 | 3,200 | 3,200 | 0 |
| 640 | 369 | 271 | 271 | Capital Programme | | 2,050 | 1,853 | 197 | 13,190 | 13,190 | 0 |

**UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 FINANCIAL PERFORMANCE - PERIOD ENDING
 AGED ANALYSIS OF TRADE RECEIVABLES**

30th June 2012

APPENDIX E

| Month | Trust Total £ | No of Invs | Current £ | 30 - 60 £ | 60 - 90 £ | 90+ £ |
|--------------|---------------------------|---------------|--------------------------|--------------------------|-------------------------|-------------------------|
| APRIL | 24,648,600 100% | 1,992 | 10,310,523 42% | 10,671,549 43% | 664,750 3% | 3,001,778 12% |
| MAY | 15,411,893 100% | 1,860 | 4,432,608 29% | 2,097,173 14% | 6,171,085 40% | 2,711,027 18% |
| JUNE | 14,736,054 100% | 2,078 | 5,143,028 35% | 2,838,265 19% | 694,839 5% | 6,059,922 41% |

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
CASHFLOW FORECAST 2012/13

30th June 2012

APPENDIX F

| | Apr | Apr | May | May | June | June | July | Aug | Sep | Oct | Nov | Dec | Jan | Feb | Mar |
|---------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| | F/Cast | Actual | F/Cast | Actual | F/Cast | Actual | F/Cast | F/Cast | F/Cast | F/Cast | F/Cast | F/Cast | F/Cast | F/Cast | F/Cast |
| | £000's | £000's | £000's | £000's | £000's | £000's | £000's | £000's | £000's | £000's | £000's | £000's | £000's | £000's | £000's |
| INCOME | | | | | | | | | | | | | | | |
| Contract Income Protected | 36,733 | 41,556 | 36,733 | 48,938 | 36,733 | 42,812 | 39,633 | 39,633 | 39,634 | 38,900 | 38,900 | 38,900 | 41,600 | 41,600 | 41,600 |
| Non Protected | 233 | 140 | 233 | 251 | 234 | 35 | 267 | 267 | 266 | 233 | 233 | 234 | 267 | 267 | 266 |
| Other Income | 9,433 | 9,733 | 9,433 | 7,122 | 9,434 | 7,207 | 9,400 | 9,400 | 9,400 | 9,400 | 9,400 | 9,400 | 9,233 | 9,233 | 9,234 |
| Interest Received | 66 | 53 | 67 | 12 | 67 | 13 | 66 | 67 | 67 | 67 | 66 | 67 | 66 | 67 | 67 |
| Total Received | 46,465 | 51,481 | 46,466 | 56,323 | 46,468 | 50,067 | 49,366 | 49,367 | 49,367 | 48,600 | 48,599 | 48,601 | 51,166 | 51,167 | 51,167 |
| EXPENDITURE | | | | | | | | | | | | | | | |
| Pay | 25,933 | 24,595 | 25,933 | 25,130 | 25,934 | 25,100 | 25,933 | 25,933 | 25,934 | 25,967 | 25,967 | 25,966 | 25,900 | 25,900 | 25,900 |
| Non Pay | 21,833 | 15,296 | 21,833 | 24,318 | 21,834 | 30,023 | 21,733 | 21,733 | 21,734 | 21,567 | 21,567 | 21,566 | 18,767 | 18,767 | 18,766 |
| PDC Dividend | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| PFI - Specific | 0 | 2,270 | 0 | 2,270 | 0 | 2,199 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Capital | 700 | 967 | 700 | 659 | 700 | 871 | 800 | 800 | 800 | 966 | 967 | 967 | 933 | 933 | 934 |
| Total Payments | 48,466 | 43,127 | 48,466 | 52,378 | 48,468 | 58,193 | 48,466 | 48,466 | 48,468 | 48,500 | 48,501 | 48,499 | 45,600 | 45,600 | 45,600 |
| Net Inflow(outflow) | (2,001) | 8,353 | (2,000) | 3,945 | (2,000) | (8,126) | 900 | 901 | 899 | 100 | 98 | 102 | 5,566 | 5,567 | 5,567 |
| FINANCING | | | | | | | | | | | | | | | |
| Interest Expense on PFI Scheme | (1,533) | (1,543) | (1,533) | (1,543) | (1,534) | (1,543) | (1,533) | (1,533) | (1,534) | (1,533) | (1,533) | (1,534) | (1,533) | (1,533) | (1,534) |
| Capital PFI | (1,000) | (1,000) | (1,000) | (1,000) | (1,000) | (1,173) | (1,033) | (1,033) | (1,034) | (1,033) | (1,033) | (1,034) | (1,033) | (1,033) | (1,034) |
| PFI - Variations | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Restructuring Costs | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Movement on Other Grants/Capita | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| CASH BALANCES | | | | | | | | | | | | | | | |
| Opening balance | 67,700 | 66,707 | 63,166 | 72,517 | 58,634 | 73,920 | 54,100 | 52,434 | 50,769 | 49,100 | 46,635 | 44,166 | 41,700 | 44,701 | 47,702 |
| Bank balances c/f | 63,166 | 72,517 | 58,634 | 73,920 | 54,100 | 63,078 | 52,434 | 50,769 | 49,100 | 46,635 | 44,166 | 41,700 | 44,701 | 47,702 | 50,700 |
| Cash Held/Agency Bal | | 23 | | 23 | | | | | | | | | | | |
| Pharmacy@QEHB Ltd | | 1,532 | | 946 | | 2,209 | | | | | | | | | |
| Total | 63,166 | 74,072 | 58,634 | 74,889 | 54,100 | 65,310 | 52,434 | 50,769 | 49,100 | 46,635 | 44,166 | 41,700 | 44,701 | 47,702 | 50,700 |

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
SLAM REPORT: Inpatient Activity by Division [Month 2: April-May 2012]

APPENDIX G(1)

| Specialty | ACTIVITY | | | | | |
|--|--------------|--------------|--------------|--------------|-------------|-------------|
| | Target | | Actual | | Variance | |
| | Elective | Emergency | Elective | Emergency | Elective | Emergency |
| <u>Services under PbR</u> | | | | | | |
| <u>Specialty Group: 1 - Division A</u> | | | | | | |
| Interventional Radiology | 74 | 0 | 150 | 1 | 76 | 1 |
| | 77 | 5 | 150 | 10 | 73 | 5 |
| <u>Specialty Group: 2 - Division B</u> | | | | | | |
| Breast Surgery | 74 | 0 | 83 | 0 | 9 | 0 |
| Cardiology | 438 | 225 | 412 | 243 | -26 | 18 |
| Cardiothoracic Surgery | 93 | 50 | 80 | 52 | -13 | 2 |
| Colorectal Surgery | 451 | 63 | 369 | 25 | -82 | -38 |
| Gastroenterology | 835 | 62 | 916 | 53 | 81 | -9 |
| General Surgery | 547 | 599 | 353 | 662 | -194 | 63 |
| Hepatobiliary & Pancreatic Surgery | 189 | 45 | 141 | 56 | -48 | 11 |
| Hepatology | 194 | 34 | 167 | 49 | -27 | 15 |
| Nephrology | 205 | 217 | 153 | 207 | -52 | -10 |
| Transplantation Surgery | 1 | 7 | 0 | 0 | -1 | -7 |
| Upper Gastrointestinal Surgery | 57 | 17 | 5 | 2 | -52 | -15 |
| Vascular Surgery | 205 | 69 | 171 | 39 | -34 | -30 |
| | 3,291 | 1,391 | 2,850 | 1,388 | -441 | -3 |
| <u>Specialty Group: 3 - Division C</u> | | | | | | |
| Accident & Emergency | 0 | 118 | 0 | 81 | 0 | -37 |
| Dermatology | 168 | 3 | 182 | 1 | 14 | -2 |
| Diabetic Medicine | 139 | 0 | 154 | 0 | 15 | 0 |
| Endocrinology | 115 | 5 | 123 | 1 | 8 | -4 |
| General Medicine | 43 | 2,818 | 46 | 2,764 | 3 | -54 |
| Genitourinary Medicine | 1 | 3 | 2 | 2 | 1 | -1 |
| Geriatric Medicine | 0 | 149 | 1 | 106 | 1 | -43 |
| Ophthalmology | 485 | 2 | 515 | 0 | 30 | -2 |
| Pain Management | 184 | 0 | 248 | 0 | 64 | 0 |
| Respiratory Medicine | 21 | 79 | 158 | 27 | 137 | -52 |
| Rheumatology | 101 | 0 | 106 | 0 | 5 | 0 |
| | 1,258 | 3,178 | 1,535 | 2,982 | 277 | -196 |
| <u>Specialty Group: 4 - Division D</u> | | | | | | |
| Blood and Marrow Transplantation | 2 | 1 | 0 | 0 | -2 | -1 |
| Burns care | 2 | 12 | 5 | 6 | 3 | -6 |
| Clinical Oncology | 176 | 113 | 209 | 101 | 33 | -12 |
| Clinical Haematology | 586 | 111 | 781 | 106 | 195 | -5 |
| ENT | 310 | 71 | 268 | 86 | -42 | 15 |
| Maxillo-facial surgery | 194 | 94 | 208 | 79 | 14 | -15 |
| Medical Oncology | 89 | 82 | 109 | 87 | 20 | 5 |
| Neurology | 254 | 31 | 332 | 48 | 78 | 17 |
| Neurosurgery | 404 | 235 | 463 | 246 | 59 | 11 |
| Plastic Surgery | 533 | 107 | 472 | 114 | -61 | 7 |
| Trauma & Orthopaedics | 444 | 415 | 399 | 399 | -45 | -16 |
| Urology | 401 | 77 | 426 | 71 | 25 | -6 |
| | 3,395 | 1,353 | 3,672 | 1,343 | 277 | -10 |

| Specialty | ACTIVITY | | | | | |
|--|--------------|--------------|--------------|--------------|------------|-------------|
| | Target | | Actual | | Variance | |
| | Elective | Emergency | Elective | Emergency | Elective | Emergency |
| <u>Summary: Services Under PbR</u> | 8,021 | 5,926 | 8,207 | 5,723 | 186 | -203 |
| <u>Services at Local Prices</u> | | | | | | |
| <u>Specialty Group: 1 - Division A</u> | | | | | | |
| Interventional Radiology | 1 | 0 | 3 | 1 | 2 | 1 |
| | 1 | 0 | 3 | 1 | 2 | 1 |
| <u>Specialty Group: 2 - Division B</u> | | | | | | |
| Breast Surgery | 1 | 0 | 2 | 0 | 1 | 0 |
| Cardiology | 11 | 4 | 14 | 10 | 3 | 6 |
| Cardiac MRI | 30 | 0 | 42 | 0 | 12 | 0 |
| Cardiothoracic Surgery | 22 | 2 | 21 | 0 | -1 | -2 |
| Cardiothoracic Transplantation | 0 | 2 | 3 | 2 | 3 | 0 |
| Colorectal Surgery | 6 | 0 | 12 | 0 | 6 | 0 |
| Gastroenterology | 5 | 0 | 15 | 0 | 10 | 0 |
| General Surgery | 29 | 1 | 41 | 2 | 12 | 1 |
| Hepatobiliary & Pancreatic Surgery | 19 | 1 | 39 | 3 | 20 | 2 |
| Hepatology | 7 | 11 | 11 | 9 | 4 | -2 |
| Nephrology | 6 | 1 | 12 | 2 | 6 | 1 |
| Renal Transplantation | 21 | 3 | 11 | 19 | -10 | 16 |
| Vascular Surgery | 8 | 1 | 9 | 0 | 1 | -1 |
| | 167 | 25 | 232 | 47 | 65 | 22 |
| <u>Specialty Group: 3 - Division C</u> | | | | | | |
| Accident & Emergency | 0 | 8 | 0 | 5 | 0 | -3 |
| Dermatology | 5 | 0 | 5 | 0 | 0 | 0 |
| Endocrinology | 1 | 0 | 2 | 0 | 1 | 0 |
| General Medicine | 0 | 79 | 3 | 65 | 3 | -14 |
| Geriatric Medicine | 0 | 2 | 0 | 0 | 0 | -2 |
| Ophthalmology | 8 | 0 | 13 | 0 | 5 | 0 |
| Pain Management | 75 | 0 | 14 | 0 | -61 | 0 |
| Respiratory Medicine | 0 | 1 | 4 | 0 | 4 | -1 |
| Rheumatology | 1 | 0 | 0 | 0 | -1 | 0 |
| | 91 | 90 | 41 | 70 | -50 | -20 |
| <u>Specialty Group: 4 - Division D</u> | | | | | | |
| Bone & Marrow Transplantation | 4 | 0 | 1 | 0 | -3 | 0 |
| Burns care | 2 | 74 | 3 | 52 | 1 | -22 |
| Clinical Oncology | 4 | 0 | 6 | 0 | 2 | 0 |
| Clinical Haematology | 4 | 0 | 13 | 0 | 9 | 0 |
| ENT | 13 | 0 | 16 | 0 | 3 | 0 |
| Maxillo-facial surgery | 19 | 2 | 25 | 1 | 6 | -1 |
| Medical Oncology | 20 | 11 | 31 | 4 | 11 | -7 |
| Neurology | 150 | 0 | 173 | 0 | 23 | 0 |
| Neurosurgery | 42 | 1 | 35 | 0 | -7 | -1 |
| Plastic Surgery | 14 | 4 | 12 | 4 | -2 | 0 |
| Trauma & Orthopaedics | 15 | 2 | 23 | 3 | 8 | 1 |
| Urology | 17 | 0 | 27 | 1 | 10 | 1 |
| | 306 | 95 | 365 | 65 | 59 | -30 |

Specialty

| ACTIVITY | | | | | | |
|----------|-----------|----------|-----------|----------|-----------|--|
| Target | | Actual | | Variance | | |
| Elective | Emergency | Elective | Emergency | Elective | Emergency | |
| 565 | 209 | 641 | 183 | 76 | -26 | |

Summary: Services at Local Prices

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 SLAM REPORT: Outpatient Activity by Division [Month 2 - April-May 2012]

| Specialty | ACTIVITY | | | | | | | | |
|--|--------------|---------------|--------------|--------------|---------------|--------------|-------------|--------------|------------|
| | Target | | | Actual | | | Variance | | |
| | New | Follow-Up | Proc | New | Follow-Up | Proc | New | Follow-Up | Proc |
| <u>Services under PbR</u> | | | | | | | | | |
| <u>Specialty Group: 1 - Division A</u> | | | | | | | | | |
| Chemical Pathology | 0 | 0 | 9 | 0 | 0 | 9 | 0 | 0 | 0 |
| Occupational Therapy | 0 | 0 | 0 | 0 | 0 | 6 | 0 | 0 | 6 |
| Physiotherapy | 0 | 0 | 59 | 0 | 0 | 127 | 0 | 0 | 68 |
| Podiatry | 0 | 0 | 3 | 0 | 0 | 2 | 0 | 0 | -1 |
| Rehabilitation | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 2 |
| Speech & Language Therapy | 0 | 0 | 20 | 0 | 0 | 36 | 0 | 0 | 16 |
| | 0 | 0 | 92 | 0 | 0 | 182 | 0 | 0 | 90 |
| <u>Specialty Group: 2 - Division B</u> | | | | | | | | | |
| Breast Surgery | 393 | 712 | 150 | 342 | 675 | 156 | -51 | -37 | 6 |
| Cardiology | 1,395 | 4,106 | 994 | 1,411 | 4,269 | 755 | 16 | 163 | -239 |
| Cardiothoracic Surgery | 28 | 270 | 173 | 38 | 272 | 165 | 10 | 2 | -8 |
| Cardiothoracic Transplantation | 0 | 0 | 10 | 0 | 0 | 24 | 0 | 0 | 14 |
| Colorectal Surgery | 200 | 276 | 350 | 168 | 255 | 365 | -32 | -21 | 15 |
| Gastroenterology | 358 | 728 | 62 | 443 | 680 | 53 | 85 | -48 | -9 |
| General Surgery | 433 | 1,228 | 145 | 362 | 1,115 | 344 | -71 | -113 | 199 |
| Hepatobiliary & Pancreatic Surgery | 278 | 630 | 105 | 180 | 591 | 84 | -98 | -39 | -21 |
| Hepatology | 339 | 1,699 | 114 | 292 | 1,947 | 114 | -47 | 248 | 0 |
| Nephrology | 201 | 3,705 | 73 | 225 | 4,105 | 65 | 24 | 400 | -8 |
| Transplantation Surgery | 0 | 0 | 13 | 0 | 0 | 23 | 0 | 0 | 10 |
| Upper Gastrointestinal Surgery | 58 | 106 | 0 | 44 | 83 | 0 | -14 | -23 | 0 |
| Vascular Surgery | 218 | 630 | 51 | 215 | 641 | 153 | -3 | 11 | 102 |
| | 3,900 | 14,088 | 2,239 | 3,720 | 14,633 | 2,301 | -166 | 567 | 62 |
| <u>Specialty Group: 3 - Division C</u> | | | | | | | | | |
| Diabetic Medicine | 134 | 1,179 | 14 | 137 | 1,430 | 8 | 3 | 251 | -6 |
| Dermatology | 950 | 1,982 | 1,398 | 921 | 2,324 | 1,497 | -29 | 342 | 99 |
| Endocrinology | 256 | 889 | 76 | 250 | 1,290 | 55 | -6 | 401 | -21 |
| General Medicine | 246 | 1,133 | 4 | 130 | 679 | 1 | -116 | -454 | -3 |
| GenitoUrinary Medicine | 4,867 | 2,416 | 0 | 4,908 | 2,517 | 2 | 41 | 101 | 2 |
| Geriatric Medicine | 86 | 158 | 1 | 60 | 140 | 7 | -26 | -18 | 6 |
| Ophthalmology | 960 | 3,823 | 588 | 1,052 | 3,933 | 911 | 92 | 110 | 323 |
| Pain Management | 207 | 400 | 63 | 233 | 399 | 82 | 26 | -1 | 19 |
| Respiratory Medicine | 213 | 1,060 | 2 | 336 | 1,314 | 16 | 123 | 254 | 14 |
| Rheumatology | 294 | 1,818 | 0 | 274 | 2,001 | 0 | -20 | 183 | 0 |
| Infectious Diseases | 6 | 3 | 0 | 0 | 0 | 0 | -6 | -3 | 0 |
| | 8,214 | 14,859 | 2,147 | 8,301 | 16,027 | 2,579 | 87 | 1,168 | 432 |
| <u>Specialty Group: 4 - Division D</u> | | | | | | | | | |
| Burns care | 0 | 0 | 10 | 0 | 0 | 11 | 0 | 0 | 1 |
| Clinical Oncology | 1,025 | 2,715 | 2 | 1,099 | 3,077 | 1 | 74 | 362 | -1 |
| ENT | 728 | 1,705 | 1,386 | 618 | 1,383 | 1,633 | -110 | -322 | 247 |
| Clinical Haematology | 232 | 2,252 | 0 | 405 | 2,949 | 39 | 173 | 697 | 39 |
| Maxillo-facial surgery | 561 | 1,325 | 268 | 671 | 1,495 | 354 | 110 | 170 | 86 |
| Medical Oncology | 190 | 1,867 | 99 | 236 | 2,064 | 86 | 46 | 197 | -13 |
| Neurology | 21 | 1 | 18 | 73 | 30 | 157 | 52 | 29 | 139 |
| Neurosurgery | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 |
| Plastic Surgery | 423 | 1,878 | 454 | 373 | 1,635 | 477 | -50 | -243 | 23 |
| Trauma & Orthopaedics | 1,120 | 3,396 | 240 | 1,167 | 3,415 | 319 | 47 | 19 | 79 |

| Specialty | ACTIVITY | | | | | | | | |
|------------------------------------|---------------|---------------|--------------|---------------|---------------|--------------|------------|--------------|--------------|
| | Target | | | Actual | | | Variance | | |
| | New | Follow-Up | Proc | New | Follow-Up | Proc | New | Follow-Up | Proc |
| Urology | 546 | 1,599 | 730 | 550 | 1,552 | 831 | 4 | -47 | 101 |
| | 4,847 | 16,738 | 3,209 | 5,192 | 17,600 | 3,909 | 345 | 862 | 700 |
| <u>Summary: Services Under PbR</u> | 16,960 | 45,684 | 7,688 | 17,213 | 48,260 | 8,971 | 267 | 2,598 | 1,284 |

| Specialty | ACTIVITY | | | | | | | | |
|--|--------------|---------------|-----------|--------------|---------------|----------|-------------|--------------|------------|
| | Target | | | Actual | | | Variance | | |
| | New | Follow-Up | Proc | New | Follow-Up | Proc | New | Follow-Up | Proc |
| <u>Services at Local Prices</u> | | | | | | | | | |
| <u>Specialty Group: 1 - Division A</u> | | | | | | | | | |
| Interventional Radiology | 13 | 10 | 0 | 8 | 6 | 0 | -5 | -4 | 0 |
| Physiotherapy | 966 | 4,633 | 0 | 993 | 5,593 | 0 | 27 | 960 | 0 |
| Podiatry | 51 | 150 | 0 | 57 | 175 | 0 | 6 | 25 | 0 |
| Speech & Language Therapy | 93 | 204 | 0 | 73 | 277 | 0 | -20 | 73 | 0 |
| Nutrition & Dietetics | 215 | 562 | 0 | 257 | 508 | 0 | 42 | -54 | 0 |
| Occupational Therapy | 58 | 101 | 0 | 75 | 109 | 0 | 17 | 8 | 0 |
| Upper Limb | 246 | 1,456 | 0 | 278 | 1,917 | 0 | 32 | 461 | 0 |
| | 1,641 | 7,116 | 0 | 1,741 | 8,585 | 0 | 100 | 1,469 | 0 |
| <u>Specialty Group: 2 - Division B</u> | | | | | | | | | |
| Cardiac MRI | 425 | 0 | 0 | 425 | 0 | 0 | 0 | 0 | 0 |
| Cardiology | 25 | 102 | 0 | 25 | 45 | 0 | 0 | -57 | 0 |
| Cardiothoracic Surgery | 0 | 146 | 0 | 0 | 93 | 0 | 0 | -53 | 0 |
| Cardiothoracic Transplantation | 0 | 6 | 0 | 1 | 8 | 0 | 1 | 2 | 0 |
| Colorectal Surgery | 1 | 7 | 0 | 0 | 13 | 0 | -1 | 6 | 0 |
| General Surgery | 0 | 4 | 1 | 0 | 0 | 0 | 0 | -4 | -1 |
| Hepatology | 2 | 64 | 0 | 1 | 86 | 0 | -1 | 22 | 0 |
| Liver Transplantation | 0 | 68 | 0 | 0 | 98 | 0 | 0 | 30 | 0 |
| Nephrology | 28 | 200 | 0 | 0 | 29 | 0 | -28 | -171 | 0 |
| Renal Transplantation | 10 | 333 | 0 | 11 | 337 | 0 | 1 | 4 | 0 |
| | 491 | 930 | 3 | 463 | 709 | 0 | -28 | -221 | -3 |
| <u>Specialty Group: 3 - Division C</u> | | | | | | | | | |
| Accident & Emergency | 232 | 57 | 0 | 202 | 45 | 0 | -30 | -12 | 0 |
| Dermatology | 1 | 164 | 0 | 0 | 0 | 0 | -1 | -164 | 0 |
| Diabetic Medicine | 0 | 320 | 0 | 0 | 406 | 0 | 0 | 86 | 0 |
| Endocrinology | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 |
| General Medicine | 0 | 35 | 1 | 288 | 43 | 0 | 288 | 8 | -1 |
| Genitourinary Medicine | 146 | 2,375 | 0 | 34 | 2,640 | 0 | -112 | 265 | 0 |
| Rheumatology | 0 | 79 | 0 | 0 | 107 | 0 | 0 | 28 | 0 |
| | 379 | 3,031 | 1 | 525 | 3,241 | 0 | 146 | 210 | -1 |
| <u>Specialty Group: 4 - Division D</u> | | | | | | | | | |
| Audiology | 169 | 2,319 | 0 | 194 | 2,473 | 0 | 25 | 154 | 0 |
| Burns care | 0 | 18 | 0 | 0 | 13 | 0 | 0 | -5 | 0 |
| Clinical Oncology | 2 | 0 | 0 | 1 | 0 | 0 | -1 | 0 | 0 |
| Clinical Haematology | 60 | 4,201 | 0 | 0 | 3,918 | 0 | -60 | -283 | 0 |
| ENT | 0 | 7 | 3 | 0 | 0 | 0 | 0 | -7 | -3 |
| Maxillo-facial surgery | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | -1 |
| Medical Oncology | 102 | 1,122 | 0 | 9 | 1,281 | 0 | -93 | 159 | 0 |
| Neurology | 1,149 | 2,668 | 7 | 959 | 2,350 | 1 | -190 | -318 | -6 |
| Neurosurgery | 579 | 1,469 | 0 | 521 | 1,443 | 0 | -58 | -26 | 0 |
| Plastic Surgery | 0 | 0 | 13 | 0 | 0 | 0 | 0 | 0 | -13 |
| Trauma & Orthopaedics | 60 | 384 | 20 | 77 | 485 | 0 | 17 | 101 | -20 |
| Urology | 58 | 0 | 0 | 71 | 0 | 0 | 13 | 0 | 0 |
| | 2,179 | 12,188 | 44 | 1,832 | 11,963 | 1 | -347 | -225 | -43 |
| <u>Summary: Services at Local Prices</u> | 4,691 | 23,265 | 48 | 4,561 | 24,498 | 1 | -130 | 1,233 | -47 |

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 SLAM REPORT: Inpatient Activity by Commissioner [Month 2 - April-May 2012]

APPENDIX G(2)

| | | ADMITTED PATIENT CARE | | | | | | | | | | | |
|-------------------------------|------------|-----------------------|--------|----------|-------|------------------|----------|-------|--------|----------|--------|--------|----------|
| | | ELECTIVE APC | | | | NON-ELECTIVE APC | | | | TOTAL | | | |
| | | PLAN | ACTUAL | VARIANCE | PLAN | ACTUAL | VARIANCE | PLAN | ACTUAL | VARIANCE | PLAN | ACTUAL | VARIANCE |
| WEST MIDLANDS PCTS | MAND | 6,494 | 7,086 | 592 | 4,987 | 5,185 | 198 | 4,987 | 5,185 | 198 | 11,481 | 12,271 | 790 |
| | NON-TARIFF | 193 | 475 | 282 | 326 | 93 | -233 | 326 | 93 | -233 | 519 | 568 | 49 |
| | TOTAL | 6,687 | 7,561 | 874 | 5,313 | 5,278 | -35 | 5,313 | 5,278 | -35 | 12,000 | 12,839 | 839 |
| WEST MIDS SCT | MAND | 770 | 846 | 76 | 398 | 399 | 1 | 398 | 399 | 1 | 1,168 | 1,245 | 77 |
| | NON-TARIFF | 126 | 134 | 8 | 63 | 62 | -1 | 63 | 62 | -1 | 189 | 196 | 7 |
| | TOTAL | 896 | 980 | 84 | 461 | 461 | 0 | 461 | 461 | 0 | 1,357 | 1,441 | 84 |
| OUT OF AREA CONTRACTS | MAND | 705 | 211 | -494 | 499 | 85 | -414 | 499 | 85 | -414 | 1,204 | 296 | -908 |
| | NON-TARIFF | 21 | 31 | 10 | 22 | 23 | 1 | 22 | 23 | 1 | 43 | 54 | 11 |
| | TOTAL | 726 | 242 | -484 | 521 | 14 | -413 | 521 | 14 | -413 | 1,246 | 256 | -990 |
| NON-CONTRACTED ACTIVITY | MAND | 52 | 65 | 13 | 43 | 54 | 11 | 43 | 54 | 11 | 95 | 119 | 24 |
| | NON-TARIFF | 2 | 1 | -1 | 22 | 5 | -17 | 22 | 5 | -17 | 23 | 6 | -17 |
| | TOTAL | 53 | 66 | 13 | 65 | 59 | -6 | 65 | 59 | -6 | 118 | 125 | 7 |
| TOTAL NON-CONTRACTED ACTIVITY | MAND | 8,021 | 8,208 | 187 | 5,926 | 5,723 | -203 | 5,926 | 5,723 | -203 | 13,947 | 13,931 | -16 |
| | NON-TARIFF | 342 | 641 | 299 | 433 | 183 | -250 | 433 | 183 | -250 | 774 | 824 | 50 |
| | TOTAL | 8,362 | 8,849 | 487 | 6,359 | 5,906 | -453 | 6,359 | 5,906 | -453 | 14,721 | 14,755 | 34 |
| TOTAL | MAND | 8,021 | 8,208 | 187 | 5,926 | 5,723 | -203 | 5,926 | 5,723 | -203 | 13,947 | 13,931 | -16 |
| | NON-TARIFF | 342 | 641 | 299 | 433 | 183 | -250 | 433 | 183 | -250 | 774 | 824 | 50 |
| | TOTAL | 8,362 | 8,849 | 487 | 6,359 | 5,906 | -453 | 6,359 | 5,906 | -453 | 14,721 | 14,755 | 34 |

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 SLAM REPORT: Outpatient Activity by Commissioner [Month 2 - April-May 2012]

APPENDIX G(2)

| | OUTPATIENT ATTENDANCES | | | | | | | | | | | | | | | | | |
|-------------------------------|------------------------|--------|----------|----------------------|--------|----------|------------|--------|----------|-------|--------|----------|--------|--------|----------|-------|--|--|
| | FIRST ATTENDANCE | | | FOLLOW-UP ATTENDANCE | | | PROCEDURES | | | TOTAL | | | | | | | | |
| | PLAN | ACTUAL | VARIANCE | PLAN | ACTUAL | VARIANCE | PLAN | ACTUAL | VARIANCE | PLAN | ACTUAL | VARIANCE | PLAN | ACTUAL | VARIANCE | | | |
| WEST MIDLANDS PCTS | 15,638 | 16,614 | 976 | 106% | 42,984 | 44,456 | 1,472 | 103% | 7,529 | 8,794 | 1,265 | 117% | 66,150 | 69,864 | 3,714 | 106% | | |
| NON-TARIFF | 1,657 | 1,486 | - 171 | 90% | 10,175 | 9,709 | - 466 | 95% | - 0 | - | 0 | 0% | 11,831 | 11,195 | - 636 | 95% | | |
| TOTAL WEST MIDLANDS PCTS | 17,294 | 18,100 | 806 | 105% | 53,159 | 54,165 | 1,006 | 102% | 7,529 | 8,794 | 1,265 | 117% | 77,982 | 81,059 | 3,077 | 104% | | |
| WEST MIDS SCT | 24 | 181 | 157 | 741% | 176 | 2,224 | 2,048 | 1261% | 28 | - | - 28 | 0% | 229 | 2,405 | 2,176 | 1050% | | |
| NON-TARIFF | 1,211 | 981 | - 230 | 81% | 4,871 | 5,275 | 404 | 108% | - | - | - | 0% | 6,082 | 6,256 | 174 | 103% | | |
| TOTAL WEST MIDS SCT | 1,235 | 1,162 | - 73 | 94% | 5,048 | 7,499 | 2,451 | 149% | 28 | - | - 28 | 0% | 6,311 | 8,661 | 2,350 | 137% | | |
| OUT OF AREA CONTRACTS | 1,247 | 268 | - 979 | 21% | 2,284 | 1,167 | - 1,117 | 51% | 74 | 124 | 50 | 168% | 3,605 | 1,559 | - 2,046 | 43% | | |
| NON-TARIFF | 168 | 51 | - 117 | 30% | 416 | 233 | - 183 | 56% | 23 | - | - 23 | 0% | 606 | 284 | - 322 | 47% | | |
| TOTAL OUT OF AREA CONTRACTS | 1,414 | 319 | - 1,095 | 23% | 2,700 | 1,400 | - 1,300 | 52% | 97 | 124 | 27 | 128% | 4,211 | 1,843 | - 2,368 | 44% | | |
| NON-CONTRACTED ACTIVITY | 57 | 149 | 92 | 263% | 242 | 412 | 170 | 170% | 56 | 53 | - 3 | 94% | 355 | 614 | 259 | 173% | | |
| NON-TARIFF | 15 | 11 | - 4 | 75% | 36 | 62 | 26 | 170% | 25 | 1 | - 24 | 4% | 76 | 74 | - 2 | 97% | | |
| TOTAL NON-CONTRACTED ACTIVITY | 71 | 160 | 89 | 224% | 278 | 474 | 196 | 170% | 81 | 54 | - 27 | 66% | 431 | 688 | 257 | 160% | | |
| TOTAL MANDATORY | 16,966 | 17,212 | 246 | 101% | 45,686 | 48,259 | 2,573 | 106% | 7,688 | 8,971 | 1,283 | 0% | 70,339 | 74,442 | 4,103 | 106% | | |
| NON-TARIFF | 3,950 | 2,529 | - 521 | 83% | 15,498 | 15,279 | - 219 | 99% | 48 | 1 | - 47 | 2% | 18,596 | 17,809 | - 787 | 96% | | |
| TOTAL | 20,016 | 19,741 | - 275 | 99% | 61,184 | 63,538 | 2,354 | 104% | 7,735 | 8,972 | 1,237 | 116% | 88,935 | 92,251 | 3,316 | 104% | | |